

High-resolution Vegetation Proxy Modeling for Partial Shading Effects on BIPV Facades

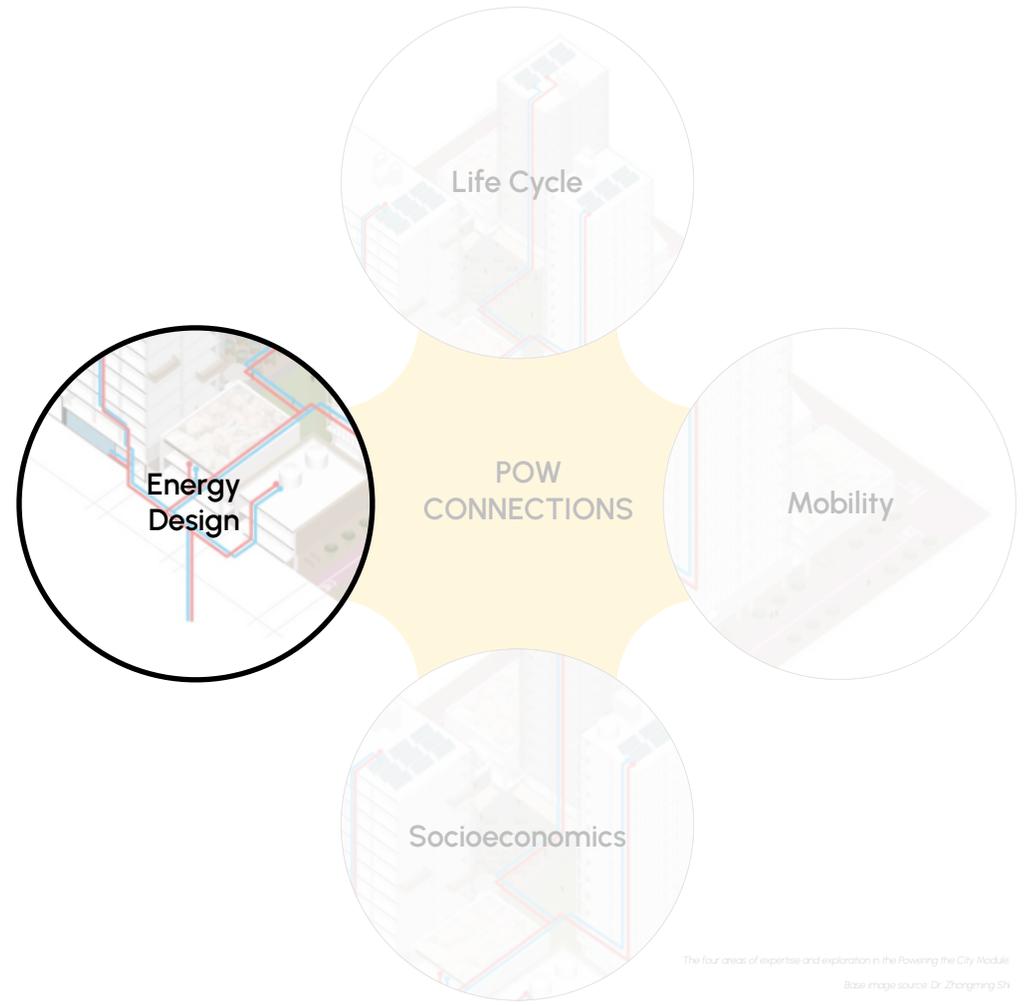
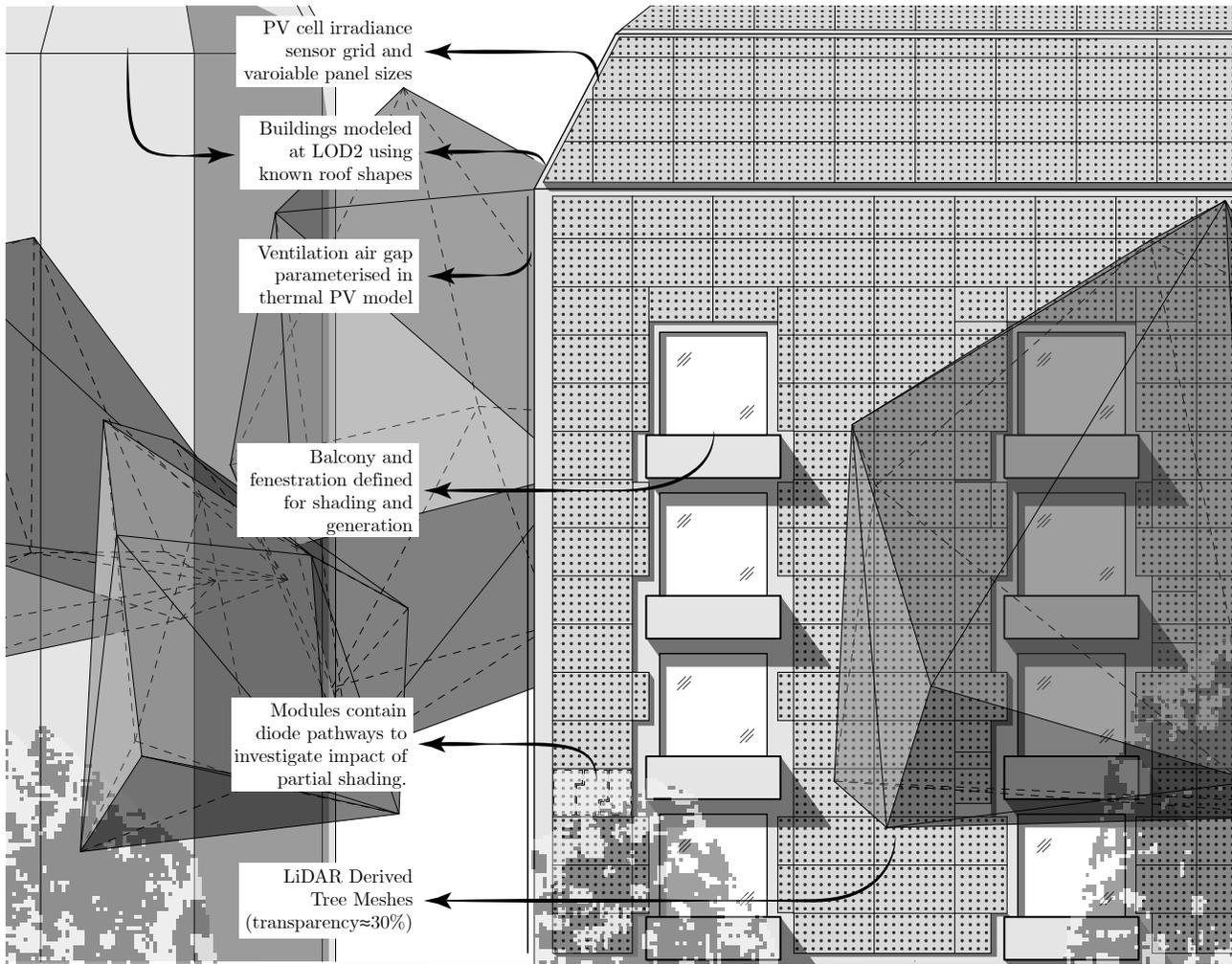
Justin McCarty, PhD candidate

29 August 2023

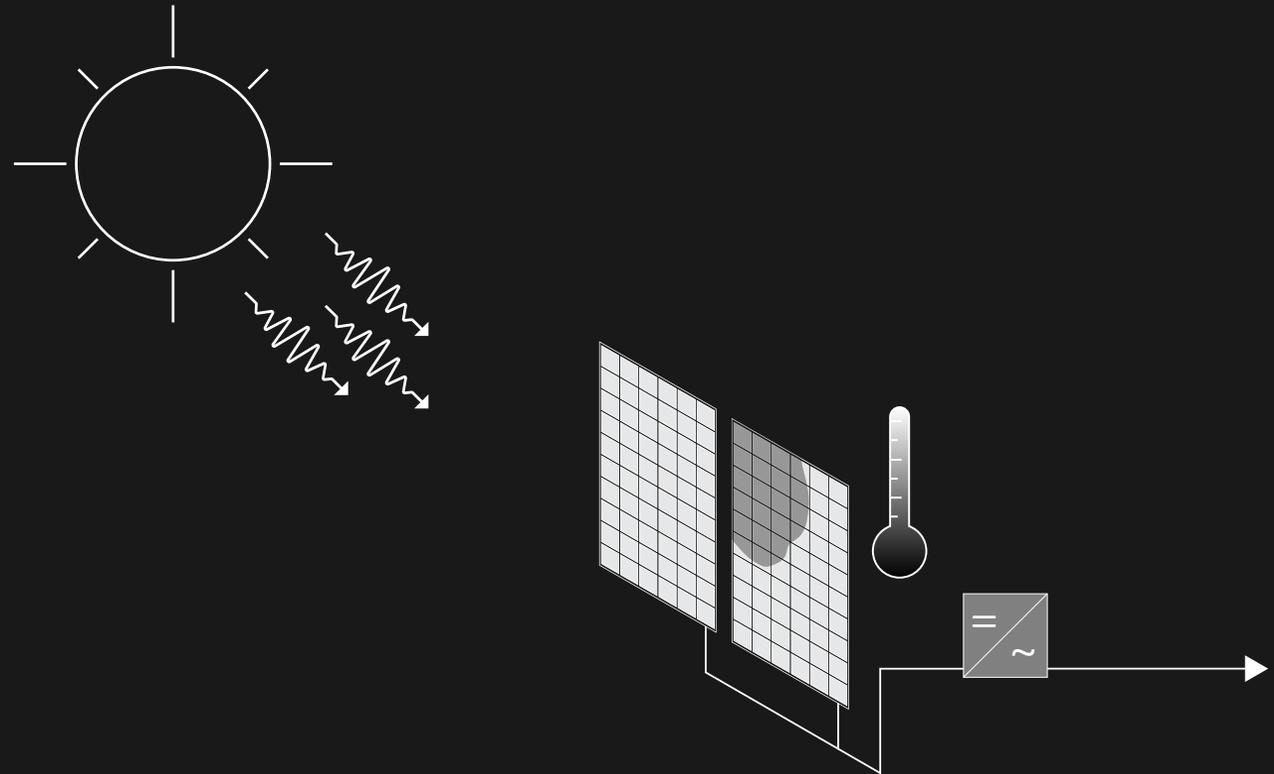
ETH Zürich, Dept. Arch

FCL Global, Powering the City

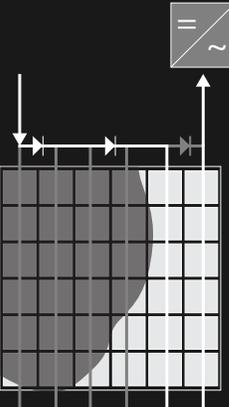
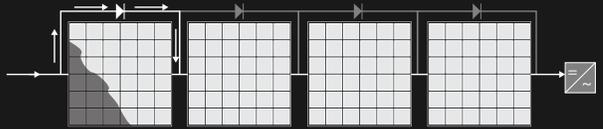
mccarty@arch.ethz.ch



High-resolution

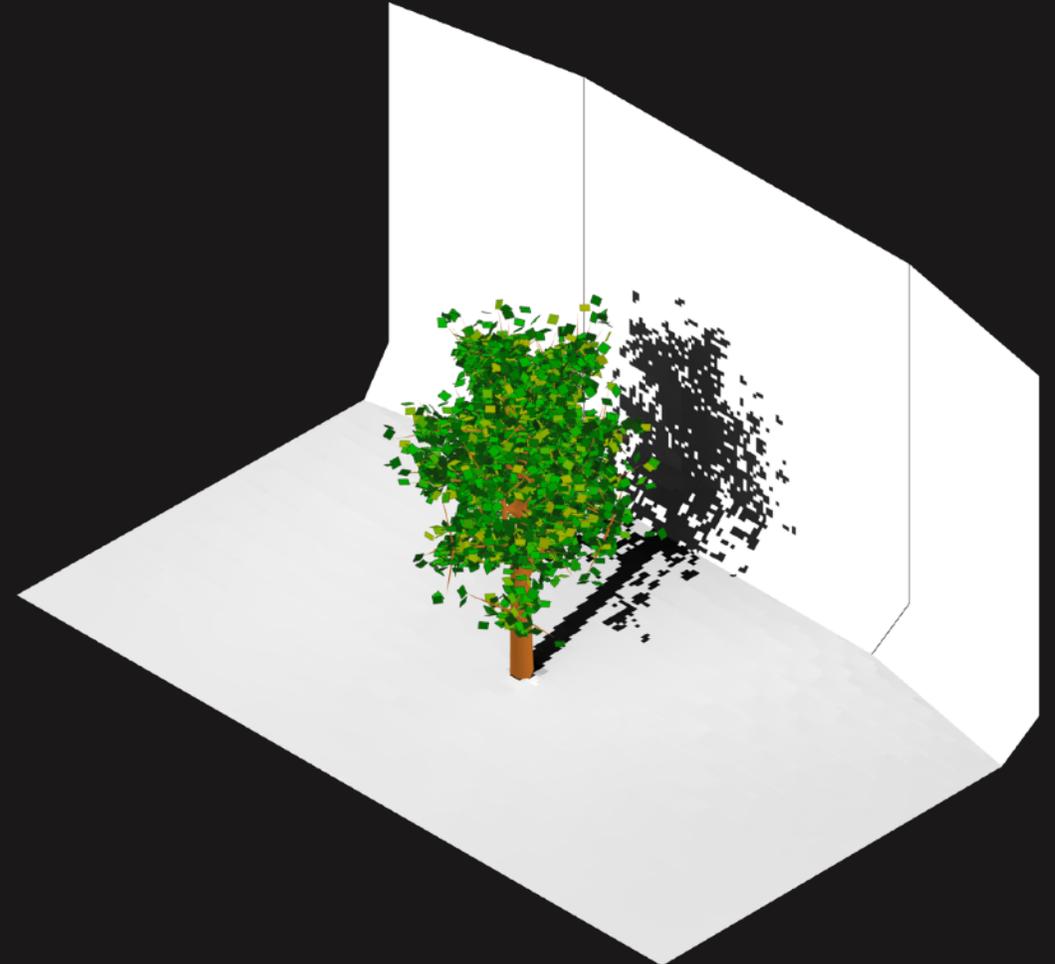


Partial Shading Effects on BIPV Facades



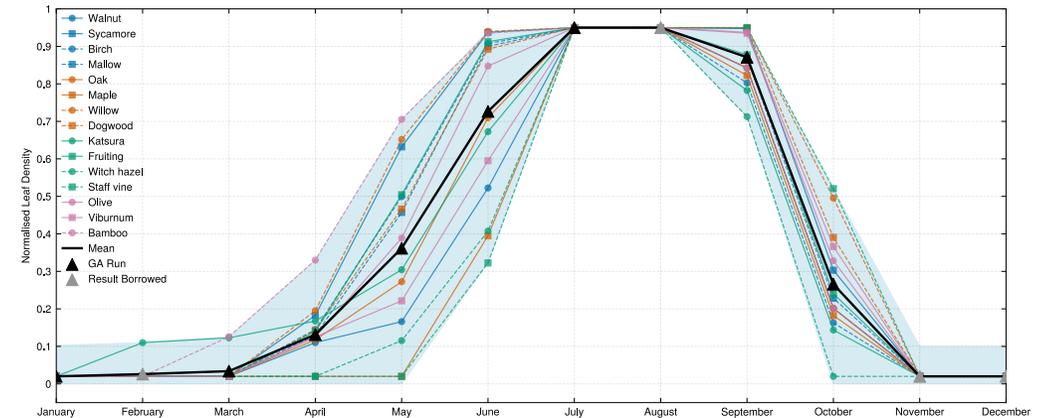
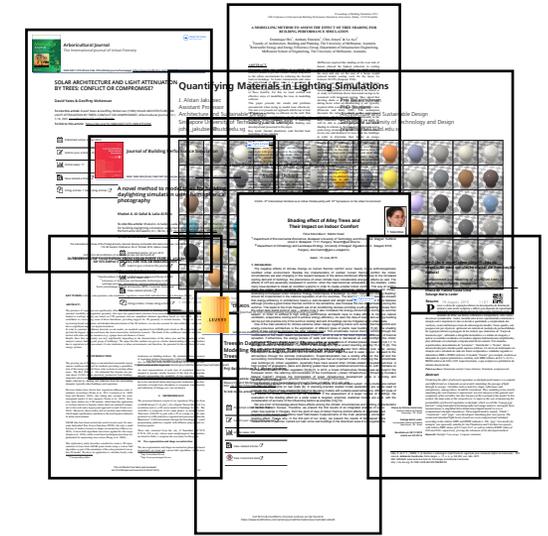
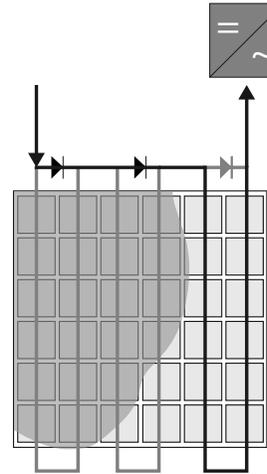
Modeling

Vegetation Proxy



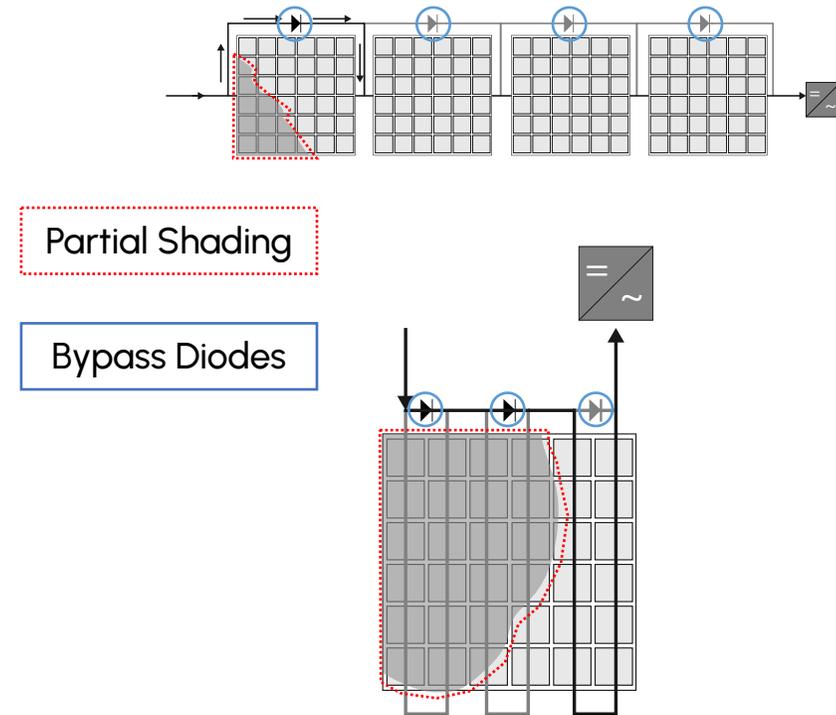
Overview

- Shadow patterns matter for photovoltaic performance.
- Who has done what and how can we apply it?
- Proxy trees from LiDAR and a genetic algorithm.
- Where to go from here?



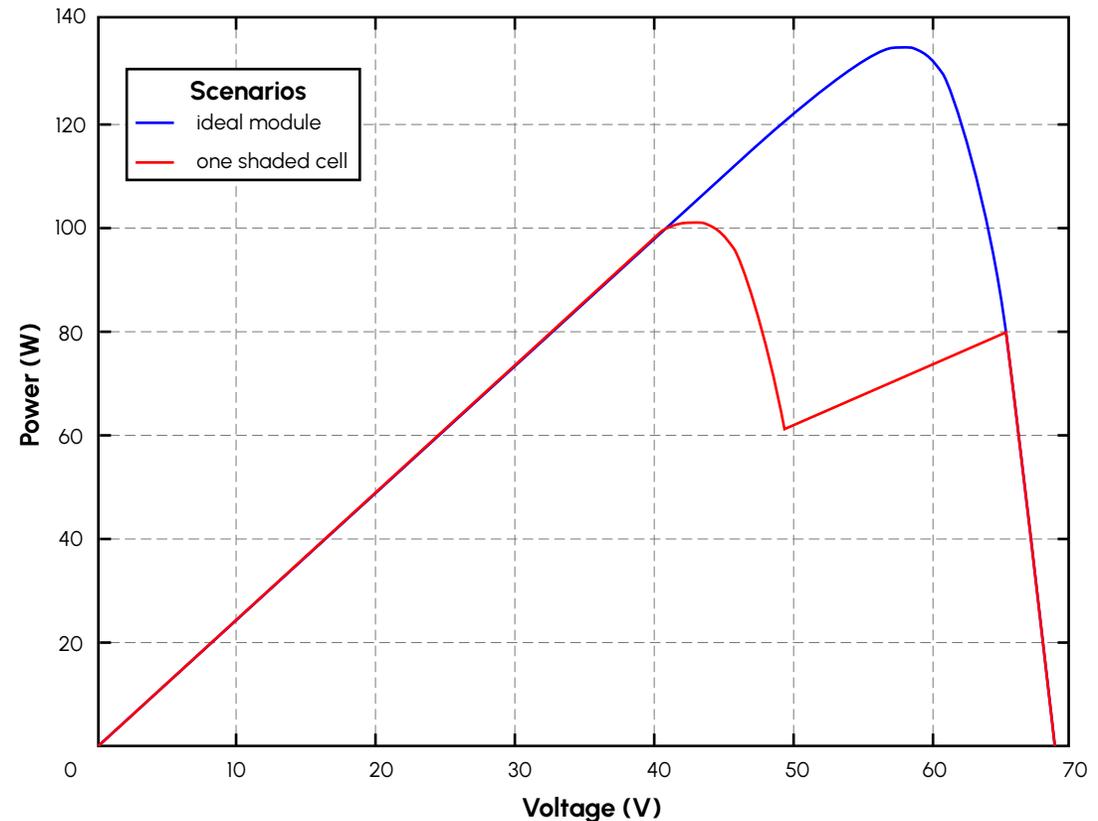
Partial Shading & Bypass Diodes

- Bypass diodes prevent damage in partially shaded strings (cells or modules).
- Their side-effect however is a reduced power output in the module.
- Modeling and simulating trees at larger scales is complex and computationally expensive.



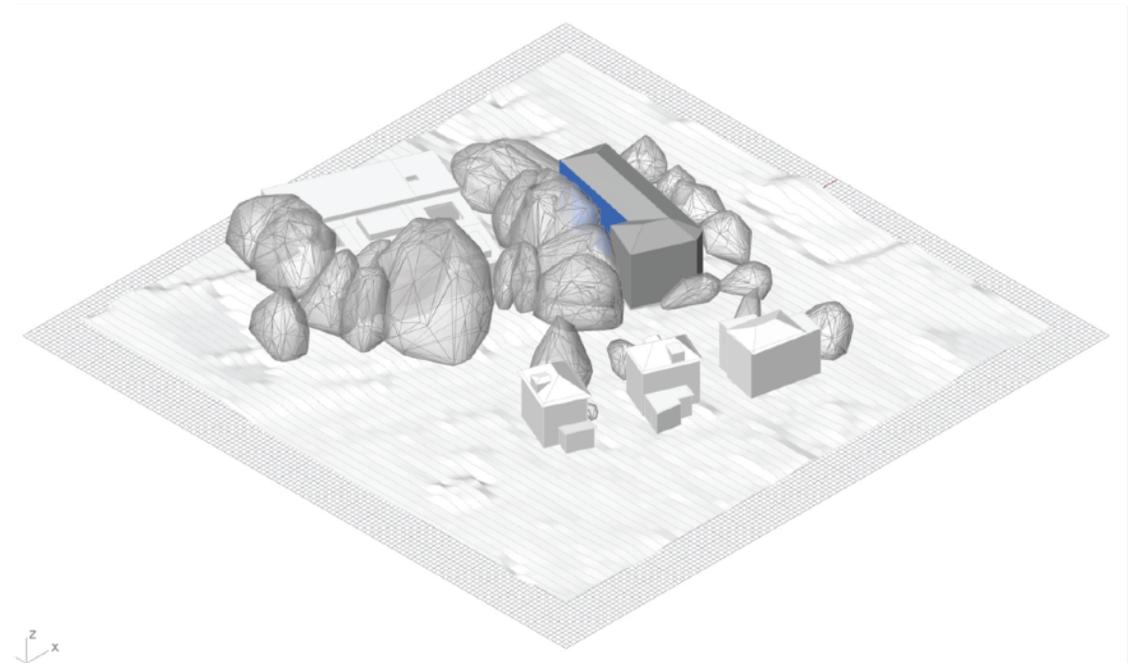
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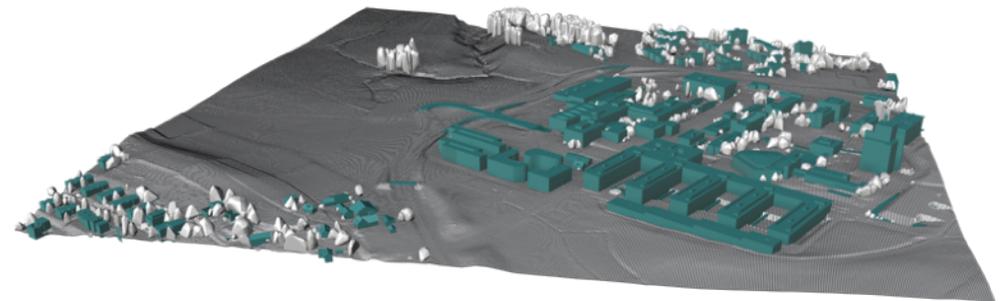
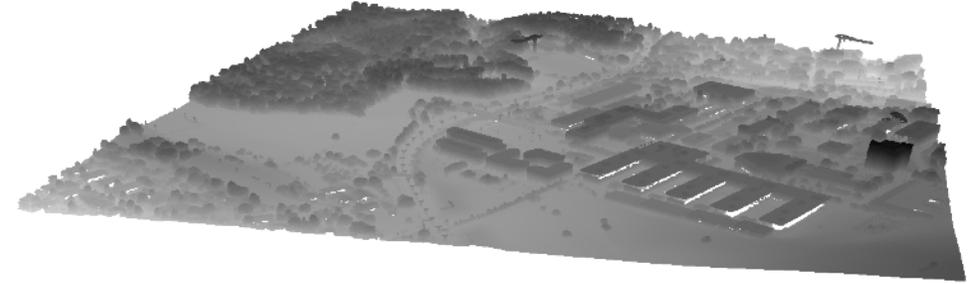
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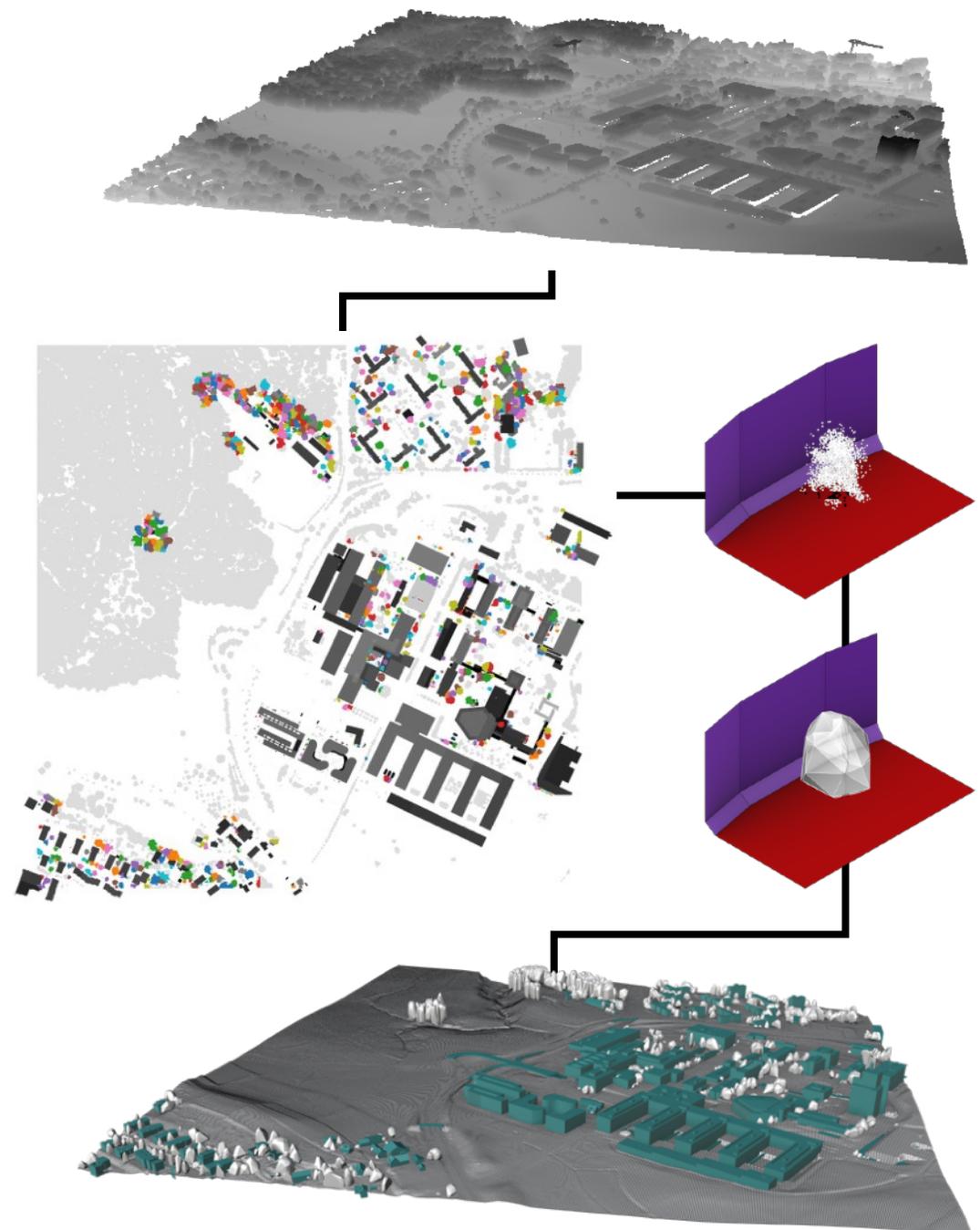
Existing Work

- From points in space to mesh representations of trees
- Crown models and perforation from gap percentage
- Extinction coefficients
- Fitting tree phenology curves



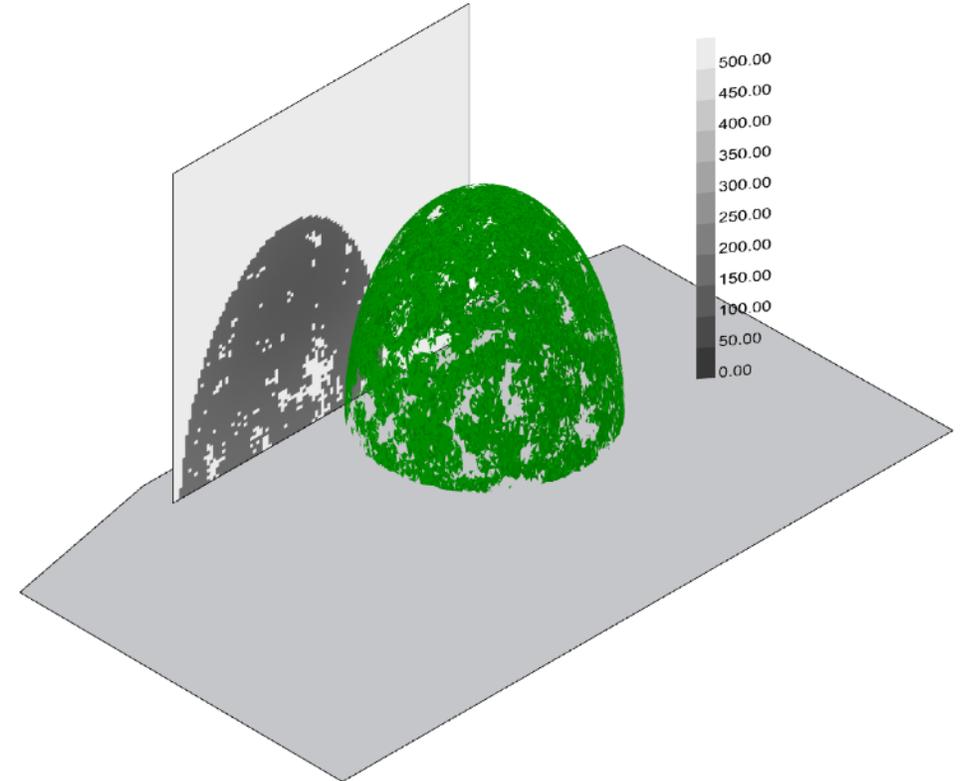
Existing Work

- From points in space to mesh representations of trees [1]
 - Point extraction [2]
 - Tree delineation through watershed algorithm [3]
 - Convex hull creation [4]



Existing Work

- From points in space to mesh representations of trees
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Existing Work

- Crown models and perforation from gap percentage [7]
 - Transformation of field measurements into 3D models
 - Brings partial shading effect into simulation

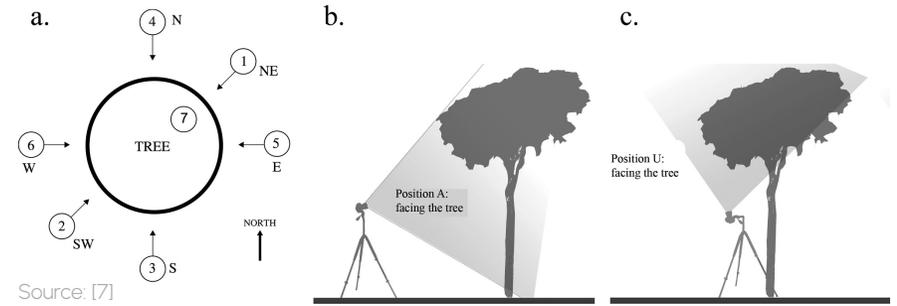
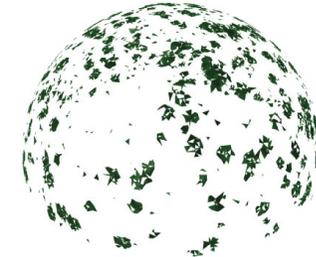
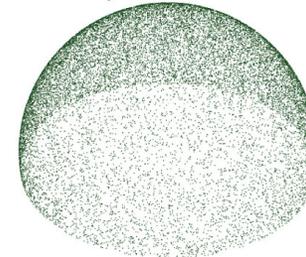


Fig. 1. (a) Camera positions, shown in plan, at seven locations around and under the crown. (b) Camera position, shown in section, to capture tree profile. (c) Camera position, shown in section, to capture tree undercanopy.

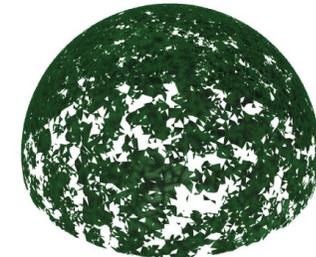
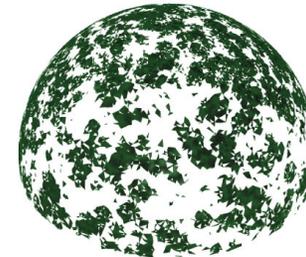
252  P. BALAKRISHNAN AND J. A. JAKUBIEC

Source: [7]

Random points



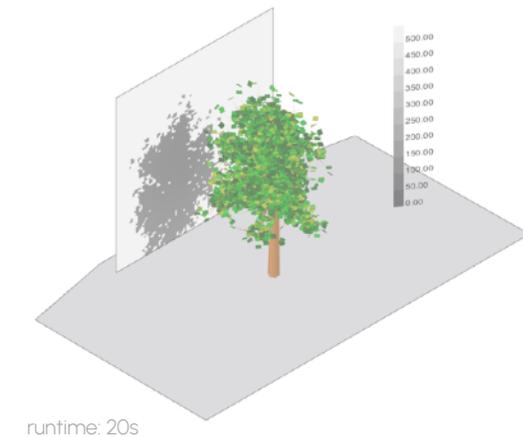
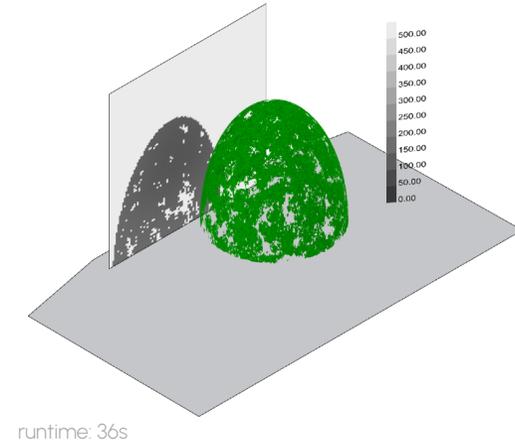
~67.3% filled



(a) Algorithmic process of generating a matched perforated tree crown model: From left to right: starting with 20,000 uniformly distributed random points on a hemisphere, triangle meshes are filled procedurally to cover 67.3% $((1 - \sqrt{0.107}) * 100)$.

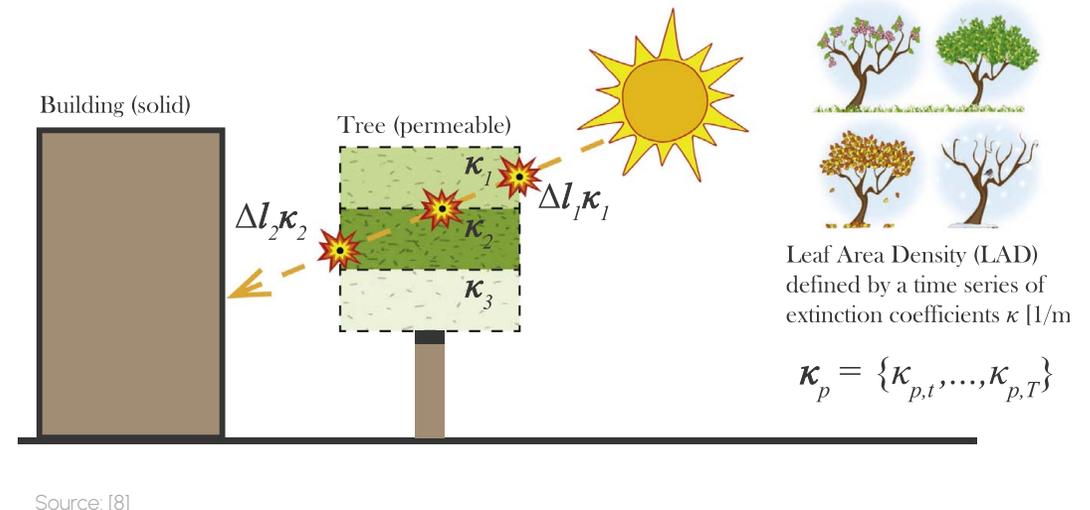
Existing Work

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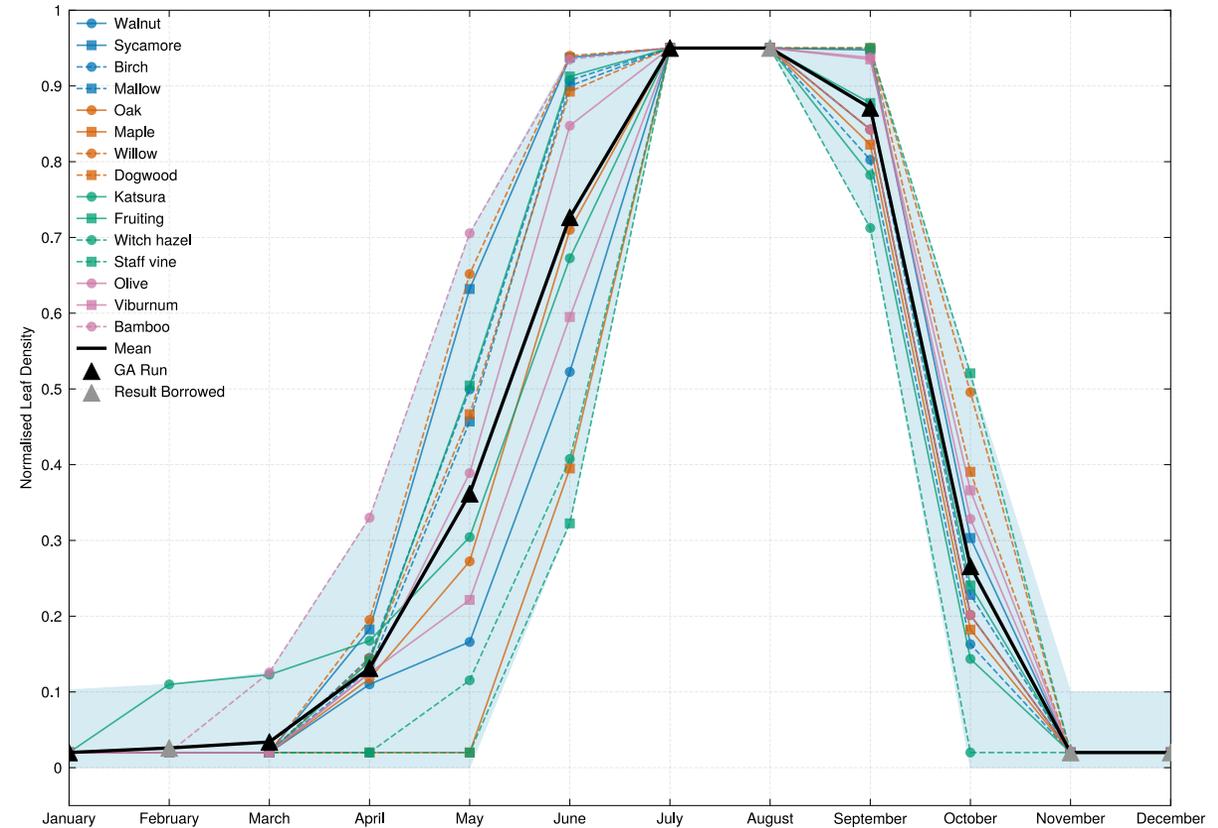
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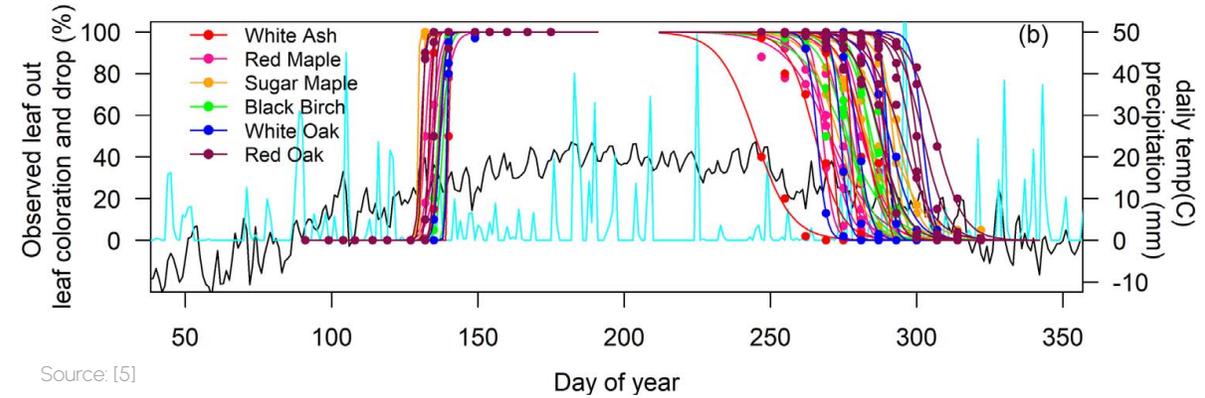
Existing Work

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Existing Work

- Fitting tree phenology curves [5]
 - The GLOBE database of leaf events [6]
 - Interpolate points using sigmoid curves

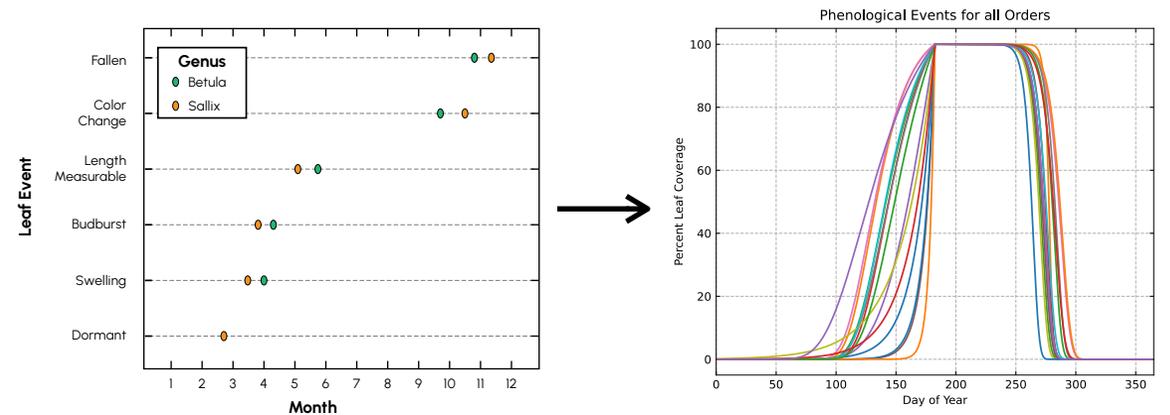


Source: [5]

Group	Latitude	Longitude	Elevation	Date	Genus	Species	Phase
Alexander von Humboldt Gymnasium	47.449	8.593	386.8	15.03.12	Juglans	regia	swelling
	47.449	8.593	386.8	21.04.12	Juglans	regia	budburst

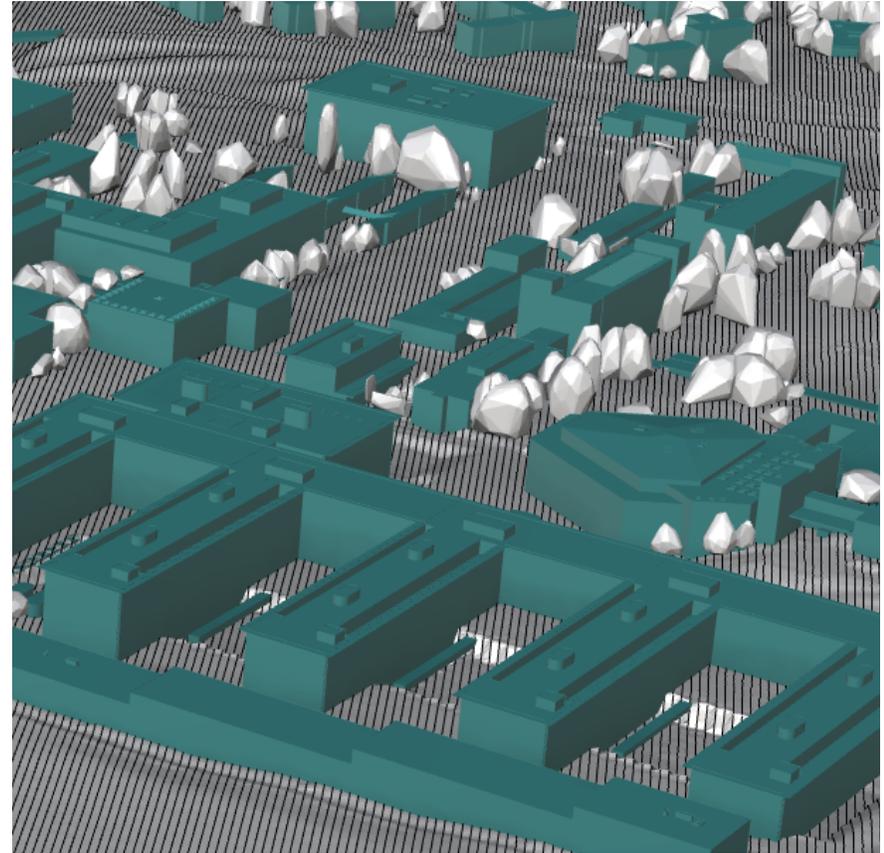
	47.449	8.593	386.8	24.04.13	Juglans	regia	Swelling
	47.449	8.593	386.8	26.04.13	Juglans	regia	budburst

Source: [6]



New Proxy Trees

- Due to constraints of urban scale research objectives we need to work from LiDAR.
- Hypothesis:
 - The material properties of the convex hull model can be adjusted to mimic the partial shading effect of an actual tree.



Radiance Material Based Proxy

- Treat the convex hull as a type of black box.
- Fit the material parameters using a genetic algorithm where the fitness function evaluates the proxy model against a baseline tree model.

Source: [8]

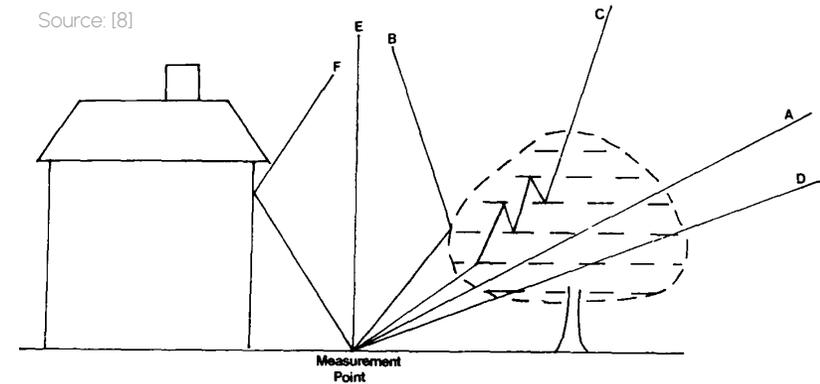
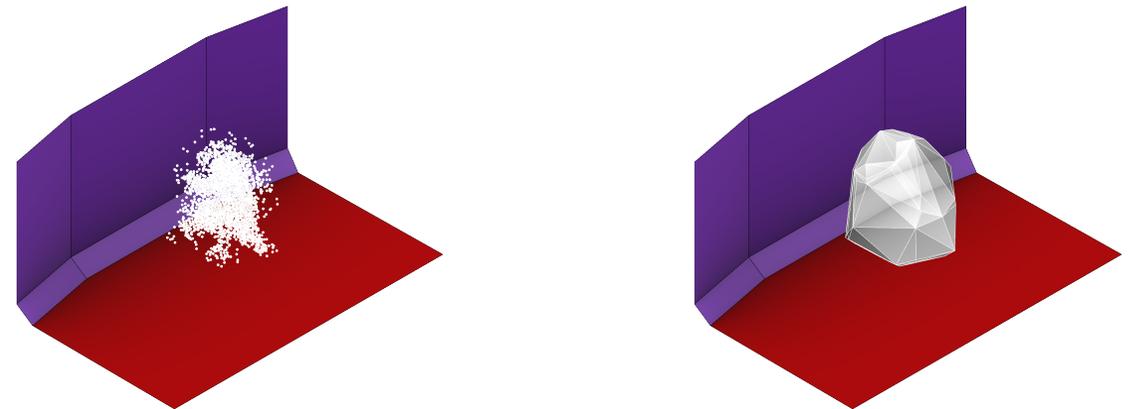
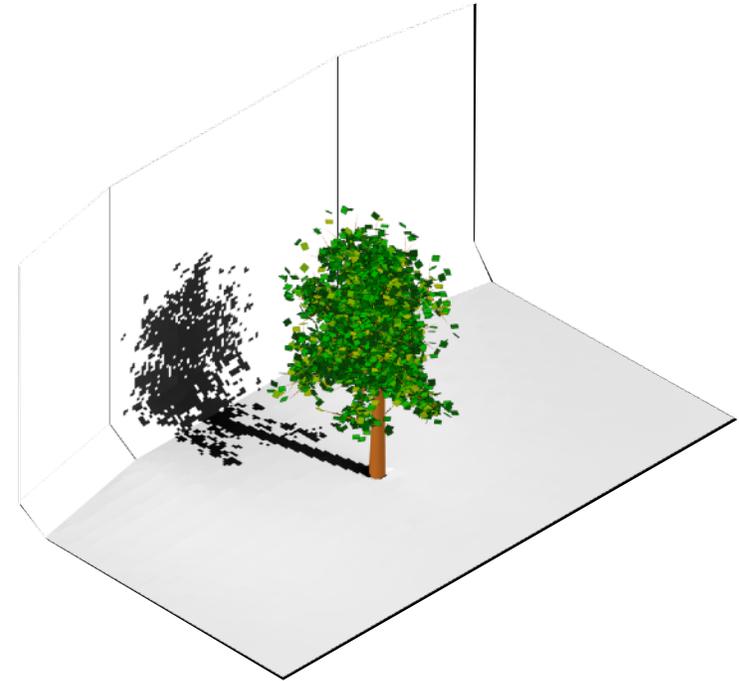


FIGURE 2. Possible pathways by which diffuse radiation might reach the measurement point.



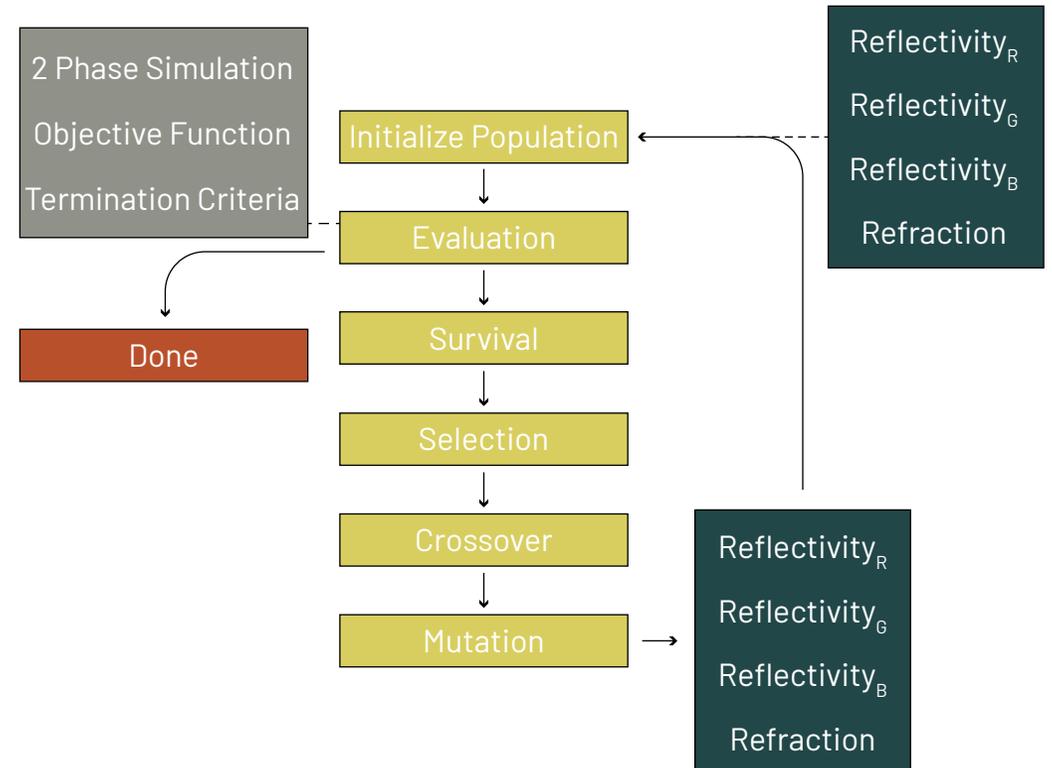
Radiance Material Based Proxy

1. Simulate the baseline tree
2. Initialise the proxy simulations with random material parameters
3. Loop through simulations evaluating the difference between the two resulting arrays
4. Determine best parameters from the fitness evaluation
5. Repeat for every month



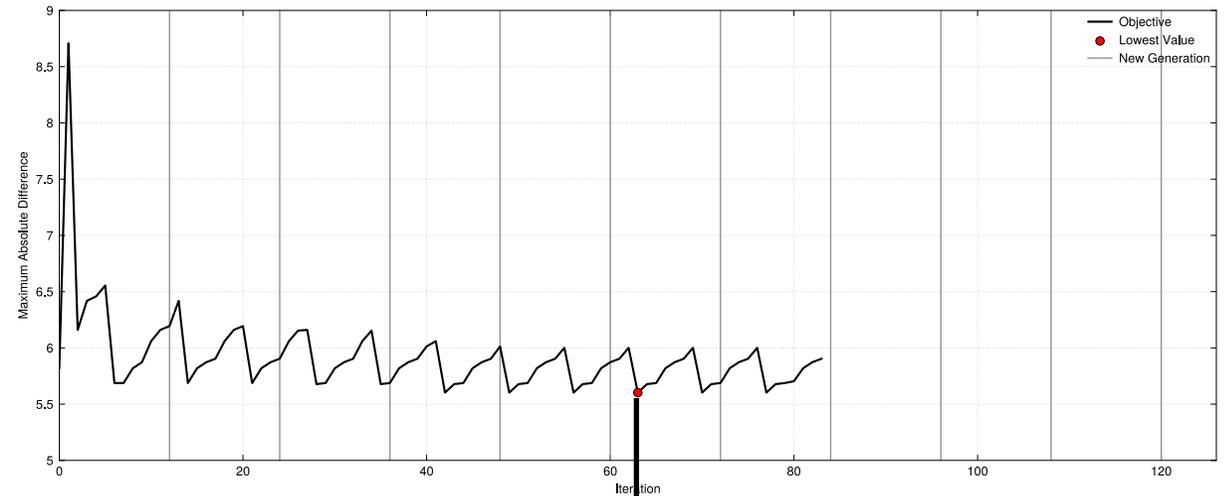
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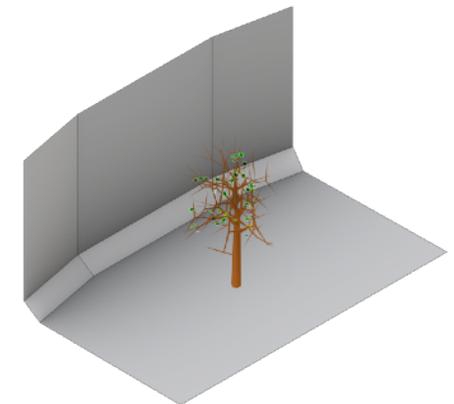
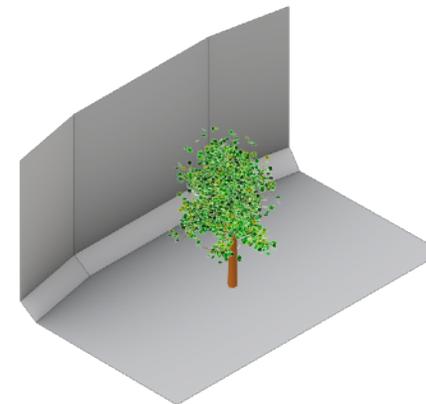
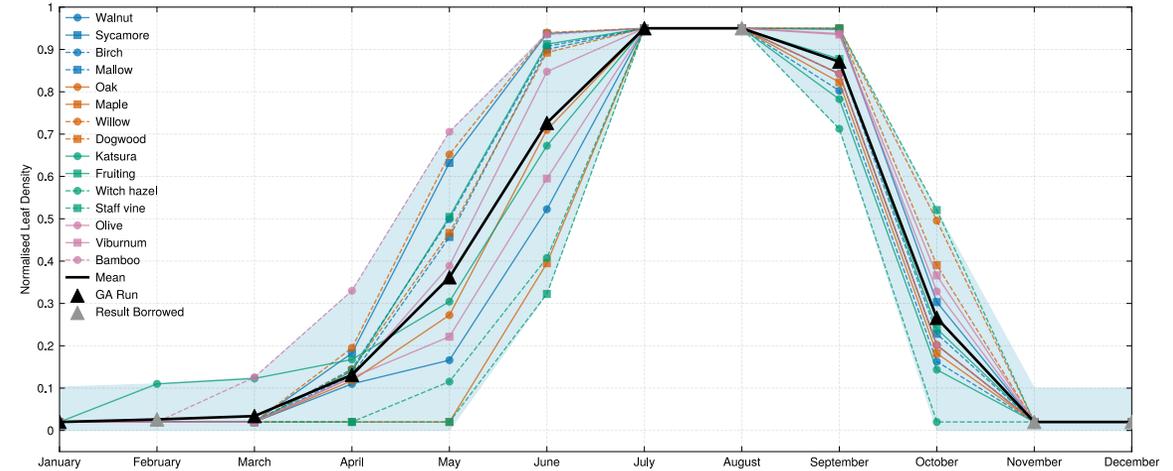
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```
void glass tree_transparent_proxy_ga
0
0
4 0.23 0.14 0.88 1.15
```

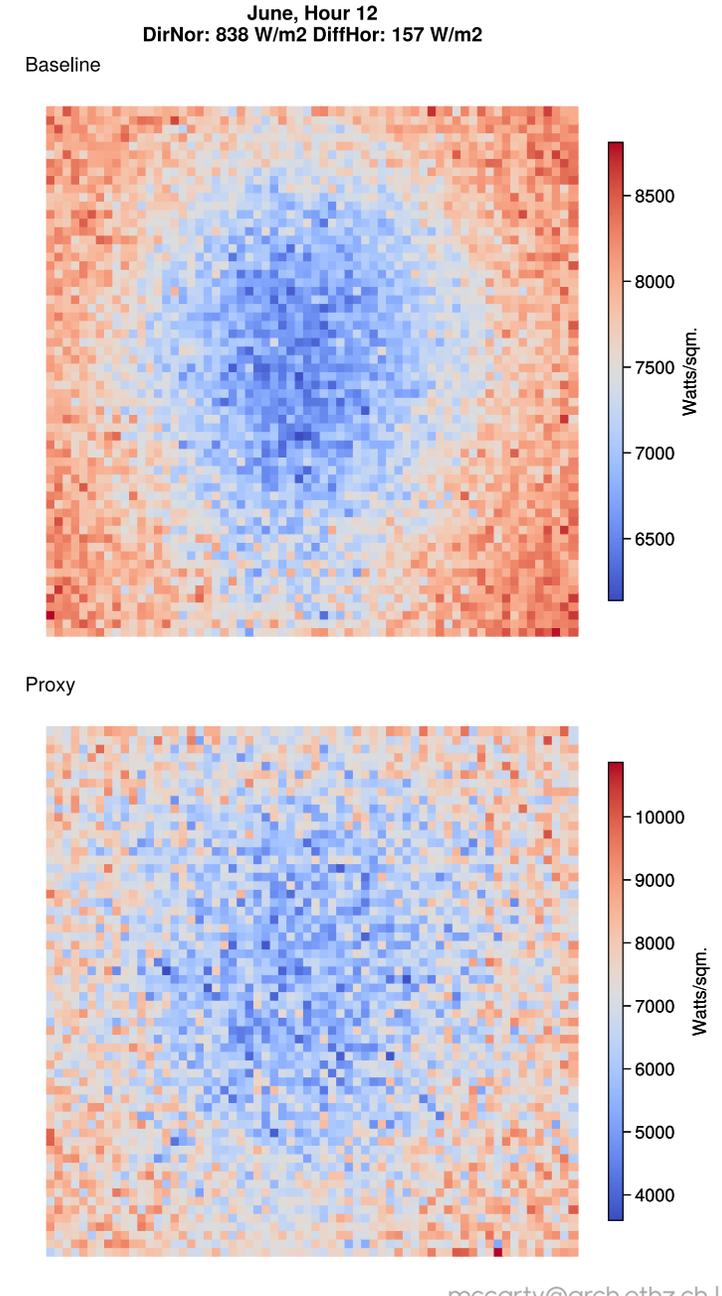
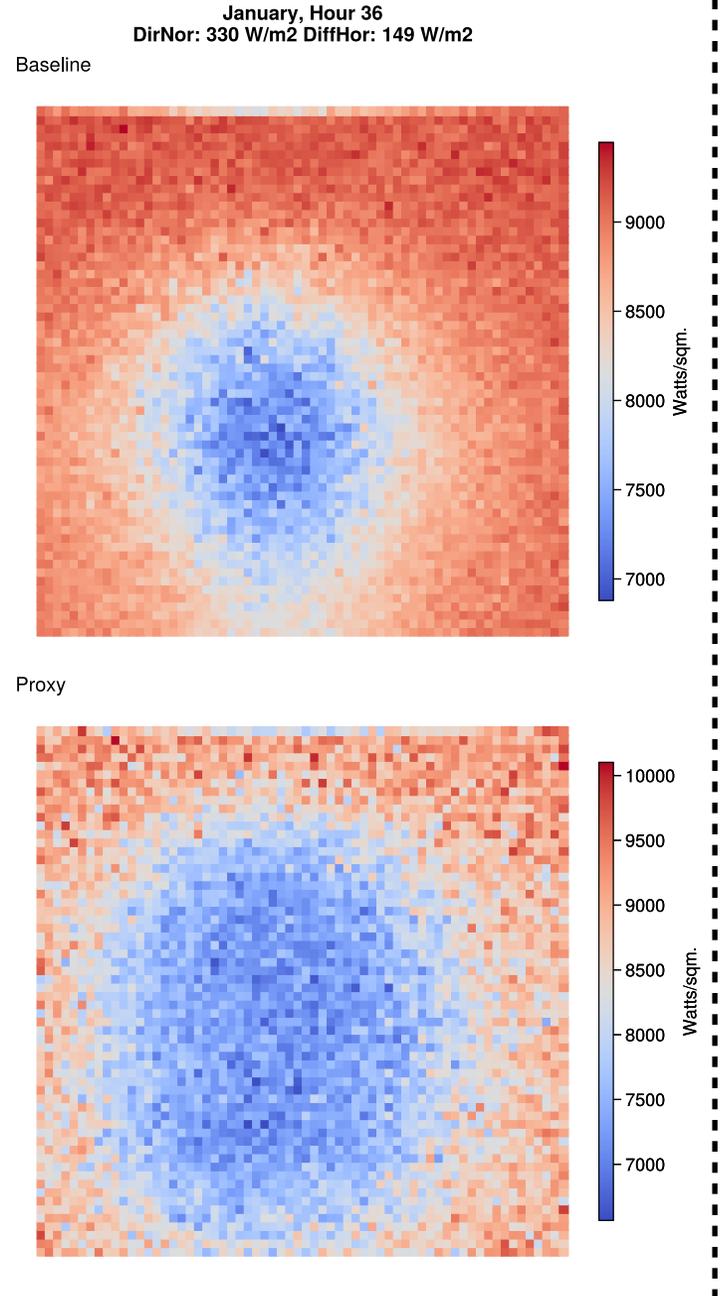
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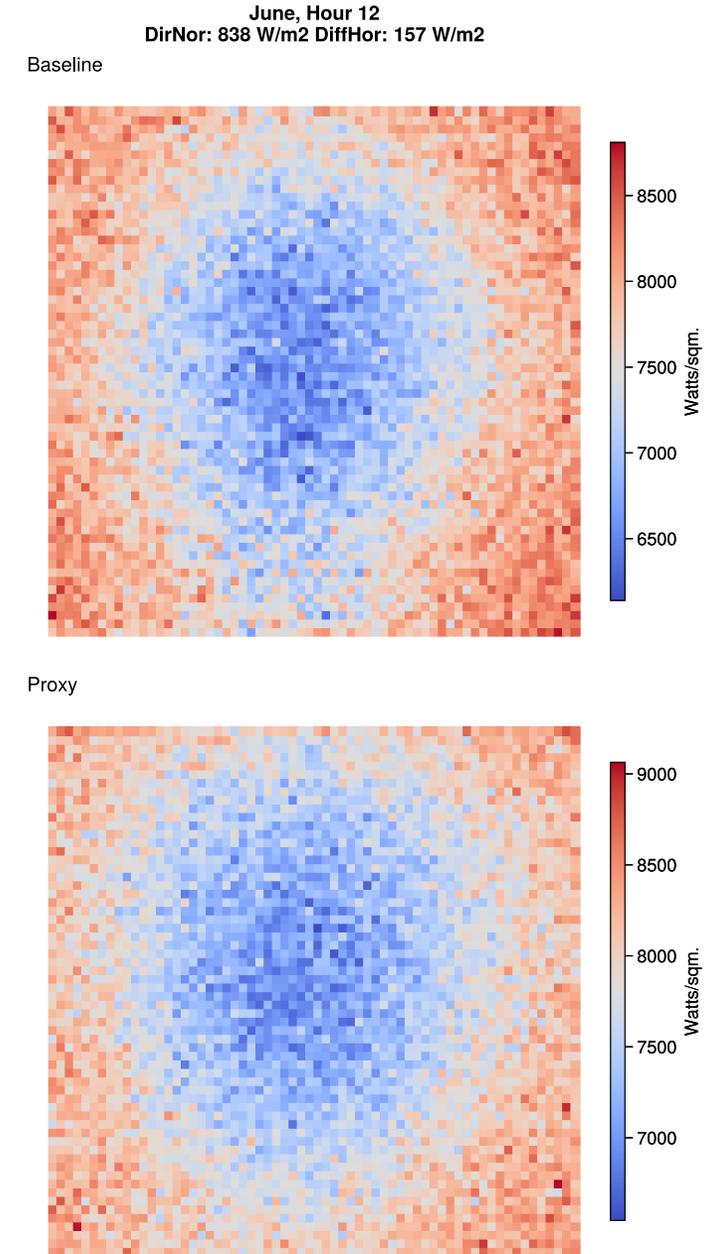
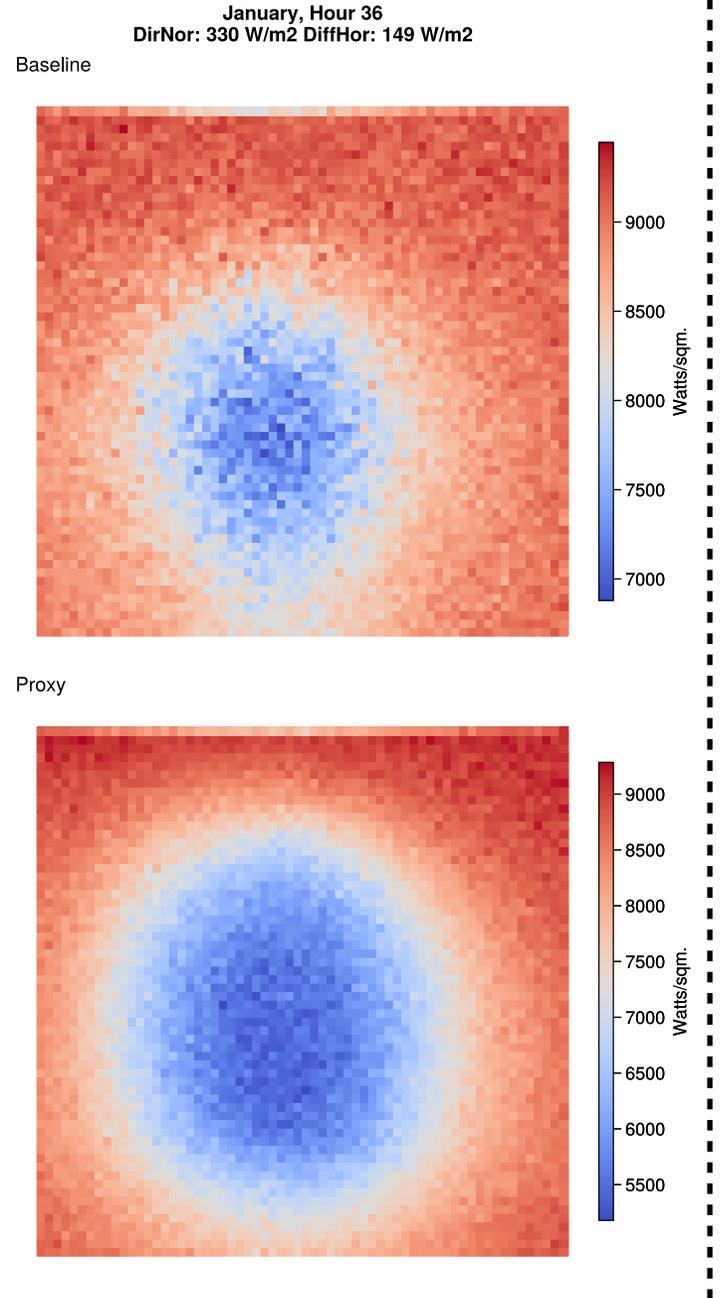
Results

- Glass based proxy
 - 1 month runtime:
38-42 seconds
- Translucent based proxy



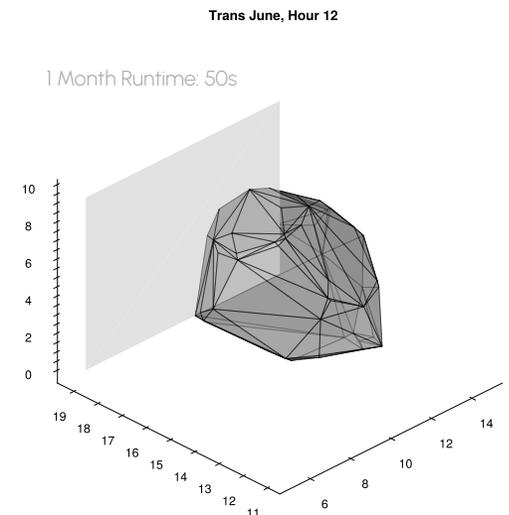
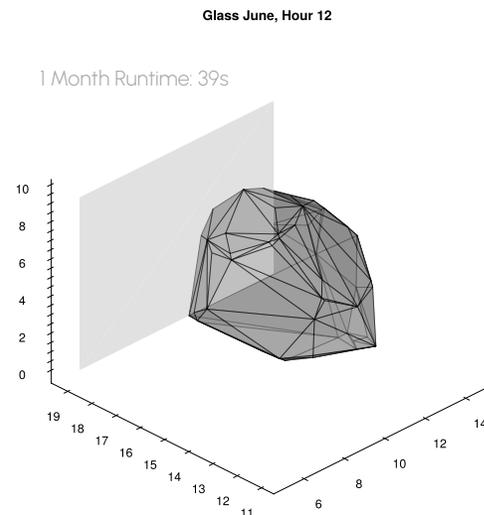
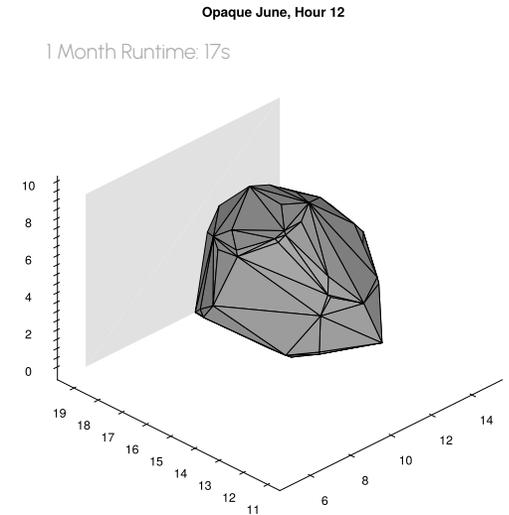
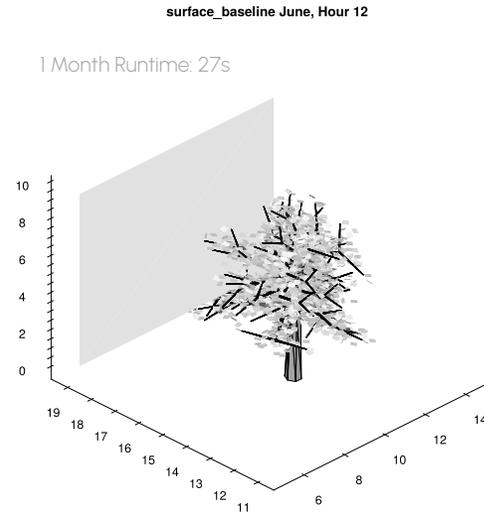
Results

- Glass based proxy
 - 1 month runtime: 38-42 seconds
- Translucent based proxy
 - 1 month runtime: 48-50 seconds



Conclusions

- Glass based tree proxies less capable of reproducing the partial shading phenomena seen in the baseline model.
- Translucent still not perfect in situations where diffuse/direct ratio is closer to 1.
- Unsure of runtime scaling.



References

- [1] G. Peronato, E. Rey, and M. Andersen, '3D-MODELING OF VEGETATION FROM LIDAR POINT CLOUDS AND ASSESSMENT OF ITS IMPACT ON FAÇADE SOLAR IRRADIATION', *Int. Arch. Photogramm. Remote Sens. Spatial Inf. Sci.*, vol. XLII-2/W2, pp. 67–70, Oct. 2016, doi: [10.5194/isprs-archives-XLII-2-W2-67-2016](https://doi.org/10.5194/isprs-archives-XLII-2-W2-67-2016).
- [2] Federal Office of Topography, 'swissSURFACE3D'. swisstopo, Bern, 2022. Accessed: Apr. 11, 2022. [Online]. Available: <https://www.swisstopo.admin.ch/en/geodata/height/surface3d.html>
- [3] J. Yang, Z. Kang, S. Cheng, Z. Yang, and P. H. Akwensi, 'An Individual Tree Segmentation Method Based on Watershed Algorithm and Three-Dimensional Spatial Distribution Analysis From Airborne LiDAR Point Clouds', *IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing*, vol. 13, pp. 1055–1067, 2020, doi: [10.1109/JSTARS.2020.2979369](https://doi.org/10.1109/JSTARS.2020.2979369).
- [4] C. B. Barber, D. P. Dobkin, and H. Huhdanpaa, 'The quickhull algorithm for convex hulls', *ACM Trans. Math. Softw.*, vol. 22, no. 4, pp. 469–483, Dec. 1996, doi: [10.1145/235815.235821](https://doi.org/10.1145/235815.235821). [5] Xie
- [6] Federal Office of Environment Switzerland, 'GLOBE Phenology Dataset Switzerland'. Bern, 2022. [Online]. Available: <https://datasearch.globe.gov>
- [7] P. Balakrishnan and J. A. Jakubiec, 'Trees in Daylight Simulation – Measuring and Modelling Realistic Light Transmittance through Trees', *LEUKOS*, vol. 19, no. 3, pp. 241–268, Jul. 2023, doi: [10.1080/15502724.2022.2112217](https://doi.org/10.1080/15502724.2022.2112217).
- [8] C. Waibel, R. Evins, and J. Carmeliet, 'Efficient time-resolved 3D solar potential modelling', *Solar Energy*, vol. 158, pp. 960–976, Dec. 2017, doi: [10.1016/j.solener.2017.10.054](https://doi.org/10.1016/j.solener.2017.10.054).