The RADIANCE Photon Map Extension User Manual

Roland Schregle (roland.schregle@{hslu.ch, gmail.com}) CC Building Envelopes Lucerne University of Applied Sciences and Arts

> Revision 1.22 February 6, 2018

Contents

1	Wha	at's New?	2				
2	Intro	ntroduction					
3	Ove	Overview of the Implementation					
	3.1	Supported Materials	6				
		3.1.1 Participating Media	6				
		3.1.2 Light Sources	7				
	3.2	Optimisations	7				
		3.2.1 Precomputed Global Photons	8				
		3.2.2 Bias Compensation	8				
		3.2.3 Automatic Maximum Search Radius	9				
		3.2.4 Photon Ports	10				
		3.2.5 Regions of Interest (ROIs)	11				
4	Gen	nerating photon maps with <i>mkpmap</i>	12				
	4.1	Distribution Algorithm	12				
	4.2	Distribution Failure	13				
	4.3	Runaway Photons	13				
	4.4	Progress Report	13				
	4.5	Command line arguments for <i>mkpmap</i>	14				
5	Ren	idering Photon Maps with <i>rpict</i>	18				
	5.1	Caustic Photon Visualisation	18				
	5.2	Global Photon Visualisation	19				
	5.3	Progress Report	19				
	5.4	Command Line Arguments for <i>rpict</i>	20				

6	Querying the Photon Map with getinfo	22
7	Visual Examination with <i>pmapdump</i>	23
8	Photon Mapping with Light Source Contributions	24
9	Bugs and Weirdness	25
10	Acknowledgements	27
A	Sample Renderings	29
В	Parameter Ranges	31
С	Mkpmap Troubleshooting	32
D	Rpict/Rtrace Troubleshooting	33

1 What's New?

Development of the RADIANCE photon map extension has resumed as of november 2013, with the following new features and extended functionality:

- Full integration with official RADIANCE distribution
- Support for *bsdf* material
- Support for light source contributions via rcontrib
- Support for regions of interest and maximum photon path length
- Simplified parametrisation with *rpict*
- Improved maximum search radius for photon lookups
- Improved support for virtual antimatter sensor surfaces.
- Renamed some *mkpmap* options for consistency with *rpict*; old options kept for interim backwards compatibility.
- Deprecated *mkpmap*'s -i option.
- Simplified and more flexible parametrisation of *pmapdump*.
- Optional build using out-of-core data structure for very large photon maps [Schr16].
- Synced PDF manual and manpages.
- ATTENTION: Photon port normals now point towards the interior as per mkillum convention!

2 Introduction

The RADIANCE rendering system [Ward94] is a very versatile and powerful tool for lighting simulation, and one of the few physically based renderers with available source code. However, developments in light redirecting materials have presented new challenges in their simulation; their specular nature makes them difficult to simulate with RADIANCE, often resulting in an undersampled specular component and therefore excessive noise. Extreme sampling parameters yield a marginal improvement at the expense of high computational load.

Particularly the phenomenon of caustics is undersampled with RADIANCE. Caustics are bright, iridescent highlights on diffuse surfaces caused by specular reflection or refraction. Figure 1 shows an example of a caustic from a retroreflecting lamella, which is a typical redirecting component encountered in daylight simulation. Quoting chapter 13 of "Rendering with RADIANCE", [Ward98],

"Other cases involving curved, specular reflectors pose similar difficulties for **mkillum**, and the only long-term solution seems to be the creation of a forward raytracing module for computing these kinds of illums."

The problem is actually far more fundamental due to RADIANCE's backward raytracing methodology, and not restricted to *mkillum* alone.



Figure 1: Photograph of caustic from retroreflecting lamella (patented by Helmut Köster).

RADIANCE's difficulties with highly directional materials motivated the development of the forward raytracing module mentioned above as a supplementary algorithm. The photon map [Jens96, Jens97, Jens00] was chosen for a number of reasons:

- Apart from handling indirect diffuse illumination, it handles caustics efficiently and has become the algorithm of choice for this purpose.
- It is straightforward to integrate into existing ray tracing environments.
- It is decoupled from the scene geometry and thus can handle arbitrarily complex objects. This also enables using the photon map to simulate indirect illumination in volumes such as participating media [Jens98].
- It represents a view independent solution to the indirect illumination and can thus be reused for multiperspective renderings with unchanged geometry.

The photon map is based on a (light) particle transport simulation, which lends its name. Each photon interacts with the objects it strikes and is either reflected, transmitted, or absorbed, depending on the characteristics of the material. A Monte Carlo sampling method is used to generate the reflected or transmitted directions of a photon if it survives. Eventually a particle is terminated either by absorption or leakage (if it leaves the scene), and a new photon is emitted.

3 Overview of the Implementation

The photon map algorithm comprises two steps:

- **Photon distribution (forward pass):** as a preprocess, photons are emitted from all light sources and probabilistically reradiated or absorbed upon striking a surface or passing through a volume, depending on its properties. The result is a view independent representation of the indirect illumination (figure 2).
- Photon gathering (backward pass): during the actual rendering, the indirect illumination for a point on a surface or within a volume is determined by finding a number of nearest photons to the point. The irradiance is then proportional to the photon density (figure 3), hence the process is known as *density estimation* in the statistics literature. The number of photons gathered defines the area covered by the density estimate, and will be referred to as its *bandwidth* for the rest of this document.

Note that the combination of a forward and backward pass comprises a bidirectional raytracer, thus all possible light transport paths are accounted for.

The distribution step is performed by the *mkpmap* utility. It is responsible for building the photon map and saving it to a file for subsequent reuse by *rpict*¹ for the actual rendering and photon gathering. The general photon mapping workflow can therefore be summarised as:

¹Unless noted otherwise, *rpict* also implies its siblings *rtrace* and *rvu*, which also support photon mapping.

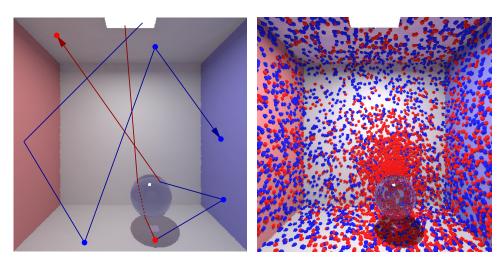


Figure 2: Global photon distribution in the Cornell box. Left: global and caustic photon paths during forward pass. Dots indicate stored photons, where blue represents global photons, and red represents caustic photons. Right: photon distribution after completion of forward pass. Note the concentration of caustic photons on the back wall reflected from the glass sphere.

mkpmap pmap₁ pmap₂... [options]... *rpict*|*rtrace*|*rvu* pmap₁ pmap₂... [options]...

Four photon types are available, which are stored in separate photon maps and visualised differently:

- **Global photons** account for all indirect (ambient) illumination incident on surfaces with a diffuse component.
- **Caustic photons** account for all indirect specular to diffuse transfers, i.e. caustics. These transport paths are also accounted for by global photons, but not visualised directly like caustics.
- **Volume photons** account for indirect illumination within participating media using the *mist* primitive.
- **Direct photons** account for the direct component from light sources and areintended as a debugging option.

The stock RADIANCE functionality (referred to here as RADIANCE CLASSICTM) is preserved in *rpict* when photon mapping mode is disabled. When rendering with the photon map, the ambient calculation is augmented by a photon map density estimate, but the direct and indirect specular components are still delivered by RA-DIANCE CLASSICTM.

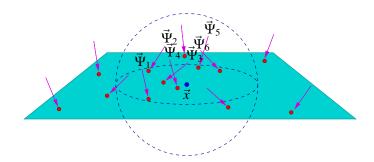


Figure 3: Gathering photons via nearest neighbour search. The number of gathered photons defines the *bandwidth*, or intercepted area, of the density estimate use to evaluate the irradiance.

3.1 Supported Materials

Material types in RADIANCE must provide support for probabilistically generating outgoing directions for incident photons using Monte Carlo methods. The following material primitives support photon mapping:

Analytical Gaussian: plastic, metal, trans, plastic2, metal2, trans2

Refracting/Reflecting: glass, dielectric, interface, mirror

Data-driven bsdf

Mixtures: mixfunc, mixdata

Patterns: colorfunc, brightfunc, colordata, brightdata, colorpict

Textures: texfunc, texdata

Volumes: mist, antimatter

Materials defined by tabulated data or functions such as *plasfunc, metfunc, transfunc, brtdfunc, plasdata, metdata,* and *transdata* are not supported as they have been largely superseded by the more general *bsdf* material, which uses a highly optimised internal data representation and efficient sampling.

3.1.1 Participating Media

In order for volume photons to function correctly with materials which modify the coefficient of extinction and scattering albedo (i.e. *dielectric* and *interface*), it is necessary to surround the light source(s) and viewpoint with a mist boundary (e.g. *bubble*), unless one intends to restrict volume photons to a small section of the scene.

Participating media are essentially hacked into RADIANCE, and this calls for peculiar workarounds. In order to get volume caustics from light passing through an object, it must also be surrounded by a mist boundary. Alternatively, the mist parameters can be set globally on the command line, however this requires setting the source and viewpoint boundaries' extinction to zero, otherwise extinction is accumulated.

Furthermore, *rpict* only accounts for inscattering along rays of finite length, i.e. which intersect a local object. The scene therefore requires peripheral geometry to delineate a finite expanse of mist, e.g. a mist boundary for the entire scene. All this just to make life more interesting.

3.1.2 Light Sources

Light sources require additional code for photon emission. Currently supported primitives are *light, spotlight, glow*, and *source*. To ensure accurate distribution, light source geometries are partitioned to a user specified resolution and photons emitted from each partition.

The behaviour of a few emitter types differs from RADIANCE CLASSIC[™]. In the case of the *source* primitive there is no geometry to partition; instead, the scene cube faces are partitioned and act as emitters, unless photon *ports* are used (see section 3.2.4). Furthermore, the *glow* primitive acts as regular light source within its maximum radius for shadow testing, in which case its contribution is accounted for by the photon map, otherwise it is handled by RADIANCE CLASSIC[™]'s ambient calculation. Virtual sources are disabled with photon mapping, since caustic photons account for this functionality.

Light sources may be modified by function files. During photon emission, the predefined variables passed to them (see *rayinit.cal*) are defined in terms of the photon origin on the light source:

- the emitted photon's direction D_x , D_y , and D_z points towards the photon origin
- N_x , N_y , and N_z is the normal on the light source surface at the photon origin
- P_x , P_y , and P_z is the emitted photon's origin
- T and Ts are undefined
- *Rdot* is the dot product of the surface normal and the photon's direction at its origin.

3.2 Optimisations

A number of optimisations have been incorporated into the implementation to either improve performance or accuracy. The user must be aware of the fact that most of these optimisations incur trade-offs; increasing performance will inevitably compromise accuracy, and vice versa. Such is the harsh reality of Monte Carlo.

3.2.1 Precomputed Global Photons

Per Christensen's precomputed photon mapping approach [Chri00] has been integrated into the implementation and is available as an option to accelerate the global photon gathering step. Global photon irradiance is precomputed for a fraction of the photon positions and stored with the photons, while the rest are discarded. This reduces the overhead of global photon lookups during the gathering phase; only the single closest global photon is retrieved, and its precomputed irradiance can be used directly (figure 4).

This approximation has the disadvantage of introducing bias to the solution for scenes with nonuniform indirect illumination. Precomputation may be combined with bias compensation (see below), albeit to marginal advantage.



Figure 4: Directly visualised precomputed global photon irradiance. Note the caustic from the glass sphere.

3.2.2 Bias Compensation

The density estimate bandwidth affords the user control over the quality and accuracy of the rendering by trading off between noise and bias. Setting the bandwidth too low may result in excessive noise, while setting it too high may result in excessive blurring and local bias in the solution.

To counter this bias/noise tradeoff, a *bias compensation* [Schr03] was developed and incorporated into the density estimate as an option. Its purpose is to adapt the bandwidth within a user specified lower and upper bound.

Bias compensation employs a binary search for an optimal bandwidth based on the likelihood that deviations from a running average irradiance are due to noise or bias. This results in substantially improved contours in nonuniform indirect illumination (particularly caustics) with a slight increase in noise in these regions (figure 5).

The algorithm also compensates for boundary bias (irradiance falloff at polygon edges) and chromatic bias. However, this sophistication comes at a price, as it does impact performance noticeably.

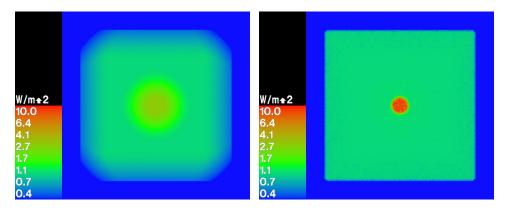


Figure 5: Left: falsecolour rendering of severely biased caustic with a bandwidth of 5000 photons. The caustic should have an irradiance of $10 W/m^2$. Right: falsecolour rendering of the same caustic with bias compensation using a bandwidth of 50–5000 photons.

3.2.3 Automatic Maximum Search Radius

The photon lookup algorithm uses a maximum search radius to identify the nearest neighbours. This radius is progressively reduced during the search until the required number of photons (as defined by the density estimate bandwidth) remain. Photons beyond the maximum search radius are disregarded.

An appropriately sized maximum search radius will eliminate many distant photons from the search, thus greatly accelerating the process. Setting it too small, however, will result in a "short" lookup, with fewer photons found than requested with the bandwidth. While this does not invalidate the resulting density estimate, a very low number of photons will render it inaccurate due to excessive noise.

An optimal maximum search radius is difficult to predict as it changes dynamically with the local photon density. The maximum search radius is therefore estimated from the average photon distance to the centre of gravity of the photon distribution. This adapts the maximum search radius to the average photon density and accounts for non-uniform concentrations of photons, as is often the case with caustics.

In situations where the photon distribution is grossly skewed, the user can manually override this automation and specify a fixed maximum search radius.

3.2.4 Photon Ports

Emitting photons from the *source* primitive presents a challenge; there is no local geometry associated with these directional sources. While the default behaviour is to emit photons from the scene cube faces, this can become highly inefficient for sources with large solid angles. These are infact situations for which a forward ray-tracer is fundamentally inappropriate. RADIANCE CLASSICTM's backward raytracer fares better here, since sending a ray to a large source is trivial. With the forward raytracer, the majority of emitted photons will be lost and won't contribute to the photon map.

To aggravate the situation, directional sources are often used to render interior daylit spaces. With the viewer positioned inside, the few photons that actually strike the geometry must also pass through windows or skylights before they can even contribute visibly to the photon map. Needless to say, this amounts to abysmal performance.

A simple workaround to the problem is the concept of photon *ports*. The ports are basically apertures through which photons can enter the space containing the viewpoint. These can be windows, skylights, but also invisible polygons within the scene (figure 6). The primary drawback to this scheme is that it requires user intervention. The user must define the port geometry and specify them according to their modifiers. If the user places the ports intelligently, the photon loss factor will be reduced dramatically, resulting in a substantial increase in performance.

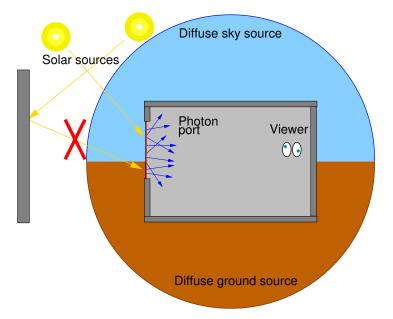


Figure 6: Typical daylighting geometry with window as photon port for directional light sources. Note that external reflections are not accounted for by photons emitted from the port.

All objects in the scene description using the specified port modifiers act as

ports during photon distribution. Photons are then emitted directly from the ports rather than from the scene cube faces. *Port objects must be defined with their surface normals pointing inside* as per *mkillum* convention. The incident flux from directional sources is evaluated at each port and the sources are checked for occlusion. However, no interreflection calculation is made; a port differs from an *illum* in this respect. Photons are scattered by the port upon emission as if they had passed through it.

In some cases ports may not coincide with any scene geometry. These situations call for invisible ports. Photons should be emitted from them, but they should not be affected by scattering through the port, and the port should not be visible during rendering. One simple solution is to define photon ports using the *antimatter* modifier, with *void* as its only string argument.

Photon ports are the only optimisation in the implementation which do not compromise accuracy for performance, assuming correct parametrisation on behalf of the user. A more general approach using Markov Chain techniques to automatically find viable photon paths without user intervention may materialise one day. (Or not. Probably not.)

3.2.5 Regions of Interest (ROIs)

The photon map extension supports the specification of one or more regions of interest, or ROIs, in which to exclusively store photons. This is done by supplying appropriate 3D coordinates defining bounding boxes as $(x_{min}, y_{min}, z_{min}, x_{max}, y_{max}, z_{max})$ to *mkpmap* via mutiple instances of the **-api** option.

Photons are then only stored if their hitpoints lie within one of the defined ROIs, in which case it will be added to the photon map. The photon path and the resulting contribution to the lighting solution remains unaffected; path segments entering a ROI will deposit photons, while those leaving a ROI will not. Note that the number of stored photons remains approximately the same, but the photon map generation can take considerably longer, depending on the volume and location of the ROIs relative to the photon trajectories.

The primary purpose of defining a ROI is to optimise the photon distribution by concentrating photons where they contribute significantly, and disregarding parts of the geometry which are out of view or insignificant. A typical example is an interior space with a fenestration, with many photons being deposited within the latter, thus reducing the number of useful photons in the interior. The ROI would then correspond to the extent of the interior and exlude the fenestration. An example of this is shown in figure 7.

ROIs are an advances option withinherent pitfalls, as excluding photons from the scene can lead to bias. It is assumed that the user can estimate the bias that results from omitting the indirect illumination contributed by the excluded photons outside the ROI. For example, using a ROI corresponding to the abovementioned interior to estimate glare from the fenestration would clearly lead to underestimation as interreflections within the fenestration are omitted.

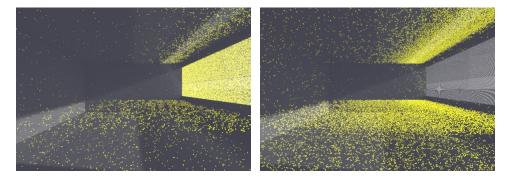


Figure 7: Photon distributions (visualised with *pmapdump*) within an interior fitted with a redirecting system. Without constraint, the majority of photons are stored in the fenestration due to interreflection (left). By defining a region of interest corresponding to the room's extent with the **-api** option, *mkpmap* only deposits photons in the interior, resulting in an improved distribution and rendering quality (right). This example also uses an invisible *antimatter* sensor surface (defined via the **-aps** option) to deposit photons at work plane height. This is noticeably underpopulated without ROI, thus impacting illuminance measurements on it.

4 Generating photon maps with *mkpmap*

The *mkpmap* utility accepts a RADIANCE octree as input and performs a forward raytracing pass to generate a photon map of each specified type for the scene. The photons are stored in a space subdividing data structure [Bent75] which facilitates nearest neighbour queries required for the photon lookup step. The data structure is written to a portable binary file for subsequent use by *rpict*.

4.1 Distribution Algorithm

The parametrisation of the distribution step is primarily intended to be user friendly; the user specifies the required number of photons stored in each map. These are treated as "targets" which the photon distribution code tries to attain, since there is no way of knowing the outcome of the distribution a priori.

The distribution algorithm makes an educated guess at the number of photons it must emit in order to satisfy the targets. This is based on the results of a distribution prepass in which a small number of photons (a fraction of the minimum specified target) is emitted and distributed. Since the distribution process scales linearly, the remaining number of photons to emit can be predicted and distributed in the main pass. The actual number of photons generated after the distribution is complete will approximate the targets.

4.2 Distribution Failure

The distribution step may bog down and terminate after a user specified number of prepass attempts if any of the specified photon maps are still empty. This is usually an indication of a scene anomaly or an incompatible photon map specification. This is intended as a convenience for the user; without it, *mkpmap* would end up in an infinite loop. It is nevertheless the user's responsibility to specify photon map types compatible with the materials and to check the validity of the scene. The following situations can cause *mkpmap* to fail:

- · pathological scenes in which none of the light sources see any geometry
- specifying a global photon map for a scene containing no materials with diffuse component
- specifying a caustic photon map for a scene containing no materials with diffuse or specular component
- specifying a volume photon map for a scene lacking the *mist* primitive.

4.3 Runaway Photons

Photons may become trapped inside *dielectric* or *interface* materials, often due to infinite total reflection. These "runaway" photons are terminated after a user defined bounce limit is exceeded, and a warning is issued under the assumption that this is an anomaly of the scene geometry.

4.4 Progress Report

If progress reports are enabled, *mkpmap* will report the following during distribution:

 N_e emitted, N_g global, N_c caustic, N_v volume, N_d direct, P% after T hours,

where:

- N_e is the total number of emitted photons
- N_g, N_c, N_v, and N_d is the number of global, caustic, volume, and direct photons stored, respectively
- *P* is the percentage completed
- *T* is the time elapsed.

When generating a precomputed global photon map, a report will also be issued subsequent to the distribution step, indicating the number of precomputed photons:

 N_p precomputed, P% after T hours,

4.5 Command line arguments for *mkpmap*

The general synopsis of the *mkpmap* utility is:

mkpmap -apg|-apc|-apv| -apd|-app|-apC file nphotons [bwidth] ... [options] octree

The options are as follows:

-apg file nphotons

Generate a global photon map containing approximately *nphotons* photons, and output to *file*. This accounts for all indirect illumination, from both specular and diffuse scattering, on surfaces with a diffuse component. This is the most general type of photon map and replaces the ambient calculation in *rpict*.

-apc file nphotons

Generate a separate caustic photon map containing approximately *nphotons* photons, and output to *file*. This is a subset of the global photon map intended for direct visualisation at primary rays. This accounts for all indirect illumination on diffuse surfaces from specular scattering, which usually exhibits a large gradient and requires a higher resolution than the global photon map, typically containing the tenfold number of photons.

-apv file nphotons

Generate a volume photon map containing approximately *nphotons* photons, and output to *file*. These account for indirect inscattering in participating media such as *mist* and complement the direct inscattering computed by **rpict**. See also the **-me**, **-ma** and **-mg** options below.

-apd file nphotons

Generate a direct photon map containing approximately *nphotons* photons, and output to *file*. This only accounts for direct illumination on diffuse surfaces, and is intended for debugging and validation of photon emission from the light sources, as the quality is too low for actual rendering.

Direct photon maps are useful to determine suitable settings for the **-ds** and **-dp** options when using light sources with complex modifiers, as they provide a visual check for the resolution of the probability density function used for photon emission. Furthermore, it can serve as a validation tool for comparison with RADIANCE's direct component.

-apC file nphotons

Generate a light source contribution photon map containing approximately *nphotons* photons, and output to *file*. This may then be used by *rcontrib* to compute light source contributions. When used with *rpict*, contribution photon maps behave as regular global photon maps and yield cumulative contributions from all light sources.

With this option, *mkpmap* uses a modified photon distribution algorithm that ensures all light sources contribute approximately the same number of photons. Each photon indexes a primary hitpoint, incident direction, and emitting light source which can be used to bin contributions per light source and direction.

Note **mkpmap** cannot generate a contribution photon map in combination with others in a single run, as it uses a different distribution algorithm. Other photon maps specified on the command line will be ignored.

See section 8 for details on using photon maps with *rcontrib*.

-app file nphotons bwidth

Generate a precomputed global photon map containing a fraction of *nphotons* photons (specified with the **-apP** option, see below), and output to *file*.

This is a special case of the global photon map where the irradiance is evaluated with a bandwidth of *bwidth* photons for a fraction of the photon positions, and stored as photon flux; the remaining photons are discarded as their contributions have been accounted for. This obviates the explicit irradiance evaluation by **rpict**, thus effecting a speedup at the expense of accuracy. The resulting error is tolerable if the indirect illumination has a low gradient, which is usually the case with diffuse illumination.

See section 3.2.1 for details on using precomputed global photons.

-apD predistrib

Photon predistribution factor; this is the fraction of *nphotons* which are emitted in a distribution prepass in order to estimate the remaining number of photons to emit in the main pass to approximately yield a photon map of size *nphotons*.

Setting this too high may yield more than *nphotons* in the initial pass with highly reflective geometry. Note that this value may exceed 1, which may be useful if the resulting photon map size greatly deviates from *nphotons* with a very low average reflectance.

-api $x_{min} y_{min} z_{min} x_{max} y_{max} z_{max}$

Defines a region of interested within which to store photons exclusively; photons will only be stored within the volume bounded by the given minimum and maximum coordinates. Multiple instances of this option may be specified with cumulative effect to define compound regions of interest.

This is useful for constraining photons to only the relevant regions of a scene, but may increase the photon distribution time. Beware that this option may lead to biased results. See section 3.2.5 for details and caveats on using ROIs.

-apm maxbounce

This option is synonymous with **-Ir** for backwards compatibility, and may be removed in future releases.

-apM maxprepass

Maximum number of iterations of the distribution prepass before terminating if some photon maps are still empty. This option is rarely needed as an aborted prepass indicates an anomaly in the geometry or an incompatibility with the specified photon map types.

-apo mod

Specifies a modifier *mod* to act as a photon port. All objects using this modifier will emit photons directly in lieu of any light sources defined with the *source* material. This greatly accelerates photon distribution in scenes where photons have to enter a space which separates them from the emitting light source via an opening, or port.

A typical application is daylight simulation, where a fenestration acts as port to admit photons into an interior after emission from an external light source. See section 3.2.4 for details on using photon ports. Multiple instances of this option may be specified.

-apO modfile

Read photon port modifiers from the file *modfile* as a more convenient alternative to multiple instances of **-apo**.

-apP precomp

Photon precomputation factor; this is the fraction of *nphotons* global photons to precompute in the range]0,1] when using the **-app** option. The remaining photons are discarded. See section **3.2.1** for details on using precomputed global photons.

-apr seed

Seed for the random number generator. This is useful for generating different photon distributions for the same octree and photon map size, notably in progressive applications.

-aps mod

Specifies a modifier *mod* defined as *antimatter* material to act as a dummy (i.e. invisible) sensor surface. Photons will be deposited on all surfaces using this modifier, just like regular materials, but will then be transferred through the surface without undergoing scattering; the surface therefore does not affect the light transport and simply acts as an invisible photon receiver.

This is useful when photon irradiance is to be evaluated at points which do not lie on regular geometry, e.g. at workplane height with *rtrace*'s -I option. Without this workaround, photons would be collected from parallel but distant planes, leading to underestimation.

Note that photons are only deposited when incident from the front side of the sensor surface, i.e. when entering the *antimatter*, thus the surface nor-

mal is relevant. *Mkpmap* reports an error if the specified modifier is not an *antimatter* material.

See figure 3.2.5 for an example of a sensor surface in action.

-apS modfile

Read dummy sensor surface modifiers from the file *modfile* as a more convenient alternative to multiple instances of **-aps**.

-bv[+|-]

Toggles backface visibility; enabling this causes photons to be stored and possibly scattered if they strike the back of a surface, otherwise they are unconditionally absorbed and discarded.

-dp sampleres

Angular resolution for sampling the spatial emission distribution of a modified light source (e.g. via *brightfunc*), in samples per steradian. This determines the accuracy of the numerical integration of the flux emitted by the source, and may need increasing with complex distributions in combination with caustics.

-ds partsize

Light source partition size ratio; this determines the spatial resolution for photon emission from light sources. A light source is spatially partitioned to distribute the photon emission over its surface. This parameter specifies the ratio of the size (per dimension) of each partition to the scene cube extent, and may need increasing for modified light sources with high spatial variation.

-e file

Redirect diagnostics and progress reports to *file* instead of the console.

-fo[+|-]

Toggles overwriting of output files. By default, *mkpmap* will not overwrite an already existing photon map file. This is to prevent inadvertently destroying the results of potentially lengthy photon mapping runs.

-Id maxdist

Limits cumulative distance travelled by a photon along its path to *maxdist*. Photon hits within this distance will be stored, and the photon is terminated once its path length exceeds this limit.

This is useful for setting radial regions of interest around emitting/reflecting geometry, but may increase the photon map generation times and yield biased results in regions beyond *maxdist*. Discretion is advised.

-Ir maxbounce

Maximum number of bounces (scattering events) for a photon path before

being considered "runaway" and terminated. Photons paths are normally terminated via Russian Roulette, depending on their albedo. With unrealistically high albedos, this is not guaranteed, and this option imposes a hard limit to avoid an infinite loop.

-ma ralb galb balb

Set the global scattering albedo for participating media in conjunction with the **-apv** option. See the *rpict* documentation for details.

-me rext gext bext

Set the global extinction coefficient for participating media in conjunction with the **-apv** option. See the *rpict* documentation for details.

-mg gecc

Set the global scattering eccentricity for participating media in conjunction with the **-apv** option. See the *rpict* documentation for details.

-n nproc

Use *nproc* processes for parallel photon distribution. There is no benefit in specifying more than the number of physical CPU cores available.

-t sec

Output a progress report every *sec* seconds. See section 4.4.

At least one of the **-apg**, **-apc**, **-apv**, **-apd**, or **-app** options must be specified. These can be combined subject to compatibility with the materials used in the scene. The **-apC** option, in contrast, uses a different distribution algorithm and cannot be combined with the aforementioned; these will simply be ignored when generating a contribution photon map.

5 Rendering Photon Maps with *rpict*

The modified *rpict* rendering tool takes the photon map generated by *mkpmap* and performs photon lookups and density estimation. Without photon map functionality enabled, it behaves like RADIANCE CLASSIC[™].

5.1 Caustic Photon Visualisation

Caustic photons are visualised at points seen directly by the observer, or via specular reflections. Virtual light sources (normally enabled with the *mirror* material) are disabled with the photon map, as the resulting caustics are already accounted for.

Note that irradiance calculations with *rtrace* using caustic photons are surface bound; irradiance for points which do not lie on surfaces will therefore be biased. As a workaround, *mkpmap* offers the **-aps** and **-apS** options to specify an invisible receiver surface with *antimatter* material for storing photons in the same plane as the sensor points. See section 4 for details.

5.2 Global Photon Visualisation

Visualisation with a global photon map implies an ambient calculation, thus *rpict*'s **-ab** option defaults to 1; this differs from the standard RADIANCE CLASSIC[™]behaviour, where the ambient calculation must be explicitly enabled.

Global photon irradiance is visualised via an intermediate ambient bounce (commonly referred to as *final gather* in the literature [Chri00], see figure 8). This reduces noise in the density estimates from the ambient daughter rays, and the resulting ambient irradiance is cached and interpolated as in RADIANCE CLASSICTM, unless explicitly disabled with the **-aa 0** option.

If the global photon irradiance was precomputed by *mkpmap* with the **-app** option, the ambient daughter rays return the precomputed irradiance from the closest global photons. This improves performance noticeably at the expense of accuracy if the indirect irradiance changes rapidly, which is rarely the case with diffuse illumination.

Specifying a negative number of ambient bounces **-ab** enables a debugging mode which does not spawn any ambient rays, but directly visualises the global photon irradiance at the primary ray. This provides a very rough but fast preview of the global illumination solution contained in the photon map. Figure 4 is an example of a such a preview for a precomputed photon map.

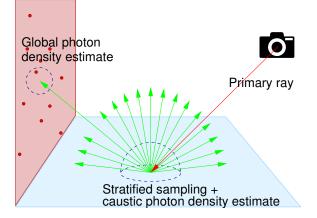


Figure 8: Caustic photons are visualised at the primary ray, while an intermediate stratified sampling step is used between the primary ray and the global photon density estimate (*final gather*).

5.3 Progress Report

Bias compensation statistics are provided in the progress report with the standard **-t** option. The statistics for each photon type subject to bias compensation are reported as follows:

 $N_{min}/N_{max}/N_{avg}$ ptype, ($\varepsilon_{min}/\varepsilon_{max}/\varepsilon_{rms}$ error),

where:

- N_{min}, N_{max}, and N_{avg} are the minimum, maximum, and average number of photons used per density estimate, respectively
- *ptype* is one of *global*, *caustic*, *volume*, or *direct*.
- ε_{min} , ε_{max} , and ε_{rms} are the minimum, maximum, and RMS (root mean square) estimated relative errors in the resulting irradiance, respectively.

Since bias cannot be determined without knowing the actual illumination, there is no reliable way of separating it from noise. As such, the error estimates are approximate and serve as a rough guide to judge the quality of the bias compensation.

5.4 Command Line Arguments for rpict

The photon mapping backward pass is disabled by default and must be explicitly enabled using the **-ap** option. The different photon map types may be renderered independently or in combination. Note that rendering only the global or caustic photon map does not take all light transport paths into consideration at the primary hitpoint. Since modifying the lights or geometry invalidates the photon map, a error is triggered if the octree is newer than the photon map, referring to the latter as "stale".

In general, a physically valid simulation (assuming specular and diffuse materials) with the photon map is obtained with the following basic set of parameters:

mkpmap -apg globalpmap nphotons -apc causticpmap nphotons \ octree

rpict -ap *globalpmap bwidth* -ap *causticpmap bwidth* -ab 1 -vf *view* \ *octree*

As with RADIANCE CLASSIC[™], the **-ad**, **-ar**, and **-aa** parameters may need modification in some cases to improve the rendering quality and accuracy. Some parameters are adopted from RADIANCE CLASSIC[™] with extended semantics in conjunction with photon maps. The **rpict** photon mapping options are as follows:

-ab nbounces

This value defaults to 1 in photon mapping mode (see **-ap** below), implying that global photon irradiance is always computed via one ambient bounce; this behaviour applies to any positive number of ambient bounces, regardless of the actual value specified.

A negative value enables a preview mode that directly visualises the irradiance from the global photon map without any ambient bounces. This is intended for debugging and checking the irradiance distribution, as the quality of the resulting renderings is generally poor with sparsely distributed photons. If the global photons are precomputed, this produces a Voronoi diagram. As with RADIANCE CLASSIC[™], setting this to 0 disables the ambient component, but caustic photon irradiance is still visualised at the primary ray.

-ap file [bwidth₁ [bwidth₂]]

Enables photon mapping mode. Loads a photon map generated with *mkpmap* from *file*, and evaluates the indirect irradiance depending on the photon type (automagically detected) using density estimates with a bandwidth of *bwidth*₁ photons, or the default bandwidth if none is specified (a warning will be issued in this case).

Global photon irradiance is evaluated as part of the ambient calculation (see section 5.2), caustic photon irradiance is evaluated at primary rays (see section 5.1), and indirect inscattering in mist is accounted for by volume photons. Contribution photons are treated as global photons.

Additionally specifying $bwidth_2$ enables bias compensation for the density estimates with a minimum and maximum bandwidth of $bwidth_1$ and $bwidth_2$, respectively. See section 3.2.2 for details on how bias compensation works.

Global photon irradiance may be optionally precomputed by *mkpmap* (see section 3.2.1, in which case the bandwidth, if specified, is ignored, as the nearest photon is invariably looked up.

Using direct photons replaces the direct calculation with density estimates for debugging and validation of photon emission.

-am maxdist

Maximum search radius for photon map lookups. Without this option, an initial maximum search radius is estimated for each photon map from the average photon distance to the dis tribution's centre of gravity. It is then adapted to the photon density in subsequent lookups.

This option imposes a global fixed maximum search radius for all photon maps, thus defeating the automatic adaptation. It is useful when multiple warnings about short photon lookups are issued.

Note that this option does not conflict with the bandwidth specified with the **-ap** option; the number of photons found will not exceed the latter, but may be lower if the maximum search radius contains fewer photons, thus resulting in short lookups. Setting this radius too large, on the other hand, may degrade performance.

This setting applies to *all* photon maps specified with **-ap**.

-ac pagesize²

Set the photon cache page size. The photon cache reduces disk I/O incurred by on-demand loading (paging) of photons, and thus increases performance.

²These options are only available with out-of-core photon mapping. Check if they appear in the output of *rpict* -help to verify that your software version supports out-of-core photon mapping.

This is expressed as a (float) multiple of the density estimate bandwidth specified with **-ap** under the assumption that photon lookups are local to a cache page.

Cache performance is sensitive to this parameter: larger pagesizes will reduce the paging frequency at the expense of higher latency when paging does occur. Sensible values are in the range 4 (default) to 16.

-aC cachesize²

Set the total number of photons cached when using out-of-core photon mapping, taking into account the pagesize specified by **-ac**. Note that this is approximate as the number of cache pages is rounded to the nearest prime. This allows adapting the cache to the available physical memory. In conjunction with the **-n** option, this is the cache size per parallel process.

Cache performance is less sensitive to this parameter, and reasonable performance can obtained with as few as 10k photons. The default is 1M. This option recognises multiplier suffixes (k = 10^3 , M = 10^6), both in upper and lower case.

6 Querying the Photon Map with getinfo

The *getinfo* tool supplied with RADIANCE can extract the information about a photon map from the header of the corresponding file. The output has the following format:

#?RADIANCE *cmdline* N_p photons @ $[\phi_r, \phi_g, \phi_b]$ avg watts FORMAT=*fmt* VERSION=*ver*

where

- *cmdline* is the *mkpmap* command line used to build the photon map, including all arguments,
- *N_p* is the number of photons in the photon map,
- $[\phi_r, \phi_g, \phi_b]$ is the photon flux averaged over the RGB colour channels,
- fmt identifies the photon map type, which is one of
 - Radiance_Global_Photon_Map,
 - Radiance_PreComp_Photon_Map,
 - Radiance_Caustic_Photon_Map,
 - Radiance_Volume_Photon_Map,
 - Radiance_Direct_Photon_Map or

Radiance_Contrib_Photon_Map

ver is a file format version number to ensure compatibility.

As with other file types, *getinfo* can query multiple photon maps in one command line.

7 Visual Examination with pmapdump

Pmapdump is a minimalist tool to visualise the photon distribution in one or more photon map files. The utility can be used for illustrative purposes to understand the photon map algorithm, but more importantly, it can be used to debug scenes in which the location of photons is unclear. The image on the right in figure 2 is an example of a **pmapdump** output.

Pmapdump takes one or more photon map files generated with **mkpmap** as input and sends a RADIANCE scene description of their photon distributions to the standard output. This can be visualised with e.g. **objview**, **rpict**, or **rvu** to assess the location and local density of photons in relation to the scene geometry.

An arbitrary number of photon maps can be specified on the command line and the respective photon type is determined automagically. The different photon types are visualised as colour coded spheres according to the following schema:

Blue: global photon

Cyan: precomputed global photon

Red: caustic photon

Green: volume photon

Magenta: direct photon

Yellow (Yellow): contribution photon

The synopsis for *pmapdump* is as follows:

pmapdump [-n nspheres₁] [-r radscale₁] pmapfile₁
[-n nspheres₂] [-r radscale₂] pmapfile₂...

Options are effective for the photon map file immediately following on the command line, and are reset to their defaults after completion of each dump. As such they may be set individually for each photon map. *Pmapdump* takes the following options:

-n nspheres

Specifies the number of spheres to dump for the next photon map. The dump is performed by random sampling with *nspheres* as target count, hence the number actually output will be approximate. *Nspheres* may be followed by

a multiplier suffix for convenience, where $k = 10^3$ and $m = 10^6$, although the latter may lead to problems when processing the output geometry with **oconv**. The default number of spheres is 10k.

-r radscale

Specifies a relative scale factor *radscale* for the sphere radius. The sphere radius is determined automatically from an estimated average distance between spheres so as to reduce clustering, assuming a uniform distribution. In cases where the distribution is substantially nonuniform (e.g. highly localised caustics) the radius can be manually corrected with this option. The default value is 1.0.

Note that the output may contain many overlapping spheres in areas with high photon density, particularly in caustics. This results in inefficient and slow octree generation with **oconv**. Generally this can be improved by reducing *nspheres* and/or *radscale*.

The following is an example to visualise the distribution of global and caustic photons superimposed on the scene geometry with 5000 and 10000 spheres, respectively:

pmapdump -n 5k global.pm -n 10k caustic.pm | \
 oconv - scene.rad > scene_pmdump.oct
rvu scene_pmdump.oct

Note the use of a pipe to directly create *scene_pmdump.oct* from the *pmapdump* output.

Alternatively, the dump may be viewed on its own by piping the output of *pmapdump* directly into *objview* (using the default number of spheres in this example):

pmapdump zombo.pm | objview

8 Photon Mapping with Light Source Contributions

The RADIANCE photon map has been adapted to facilitate the computation of light source contributions for annual and climate-based daylight simulation [Schr15]. In this workflow, the scene supplied to *mkpmap* contains multiple light sources representing different time-dependent positions (e.g. solar elevations depending on season and time of day) of one source, which are handled as aggregate for efficient simulation. The *rcontrib* tool supplied with RADIANCE can then determine the irradiance for each light source for a given sensor position in the scene, thus efficiently accounting for each source's contribution. In practice, this is done by "binning" the resulting irradiance into separate output files for each light source. See the *rcontrib* man page for details.

In support of light source contributions, *mkpmap* uses a modified photon distribution algorithm via the **-apC** option that ensures each light source contributes approximately the same number of photons, irrespective of its emitted flux. To compensate and maintain energy balance, the flux of each photon is adjusted accordingly, which can raise the variance of the resulting density estimates.

The generated photon map contains a list of primary hitpoints, incident directions, and emitting light sources, which are indexed by each photon which is spawned along the primary ray's path. This information is used to identify the emitting light source for each photon map, as well as apply an additional binning function to the incident direction via the **-b** option as documented in the **rcontrib** man page, e.g. to identify contributions from individual sky patches.

Unlike *rcontrib*, the photon map only supports contributions from light sources, not arbitrary modifiers. Ray coefficients are supported via the **-V-** option, which amounts to normalising the emission of each light source for *a posteriori* scaling without rebuilding the photon map. Using fewer photons than there are light sources for photon density estimates results in omitted contributions, thus *rcontrib* clamps the bandwidth accordingly and issues a warning.

A typical invocation of the RADIANCE photon map with light source contributions consists of the following:

mkpmap -apC contribpmap nphotons octree
rcontrib -I -h -V -fo -o %scontribfile -M mods -ap contribpmap bwidth
octree < sensorpos,</pre>

where *contribfile* is the suffix for the output bin files per light source, *lsmod* is a file containing the list of light source modifiers to bin, and *sensorpos* is a list of sensor positions as input for the irradiance calculation.

An example of a visual analysis of a redirecting component for a half year using contribution photons is shown in figure 9. The performance of the system (consisting of retroreflecting blinds) can be assessed subject to seasonal daylight availability. Redirection towards the ceiling is evident particularly from January to April. Transmission, on the other hand, occurs at low solar angles until March, before transitioning into retroreflection at high solar angles.

9 Bugs and Weirdness

- The spotlight focal point is ignored by the photon map; it coincides with the light source surface. This corresponds to specifying a direction vector of infinitesimal length in RADIANCE CLASSIC[™].
- mkpmap's distribution algorithm can exceed the specified number of photons with scenes having high reflectance or when excessively high settings of -apD are used.
- Caustic photon irradiance will be biased when passing *rtrace* points which do not lie on a surface, unless a dummy receiver surface is defined with

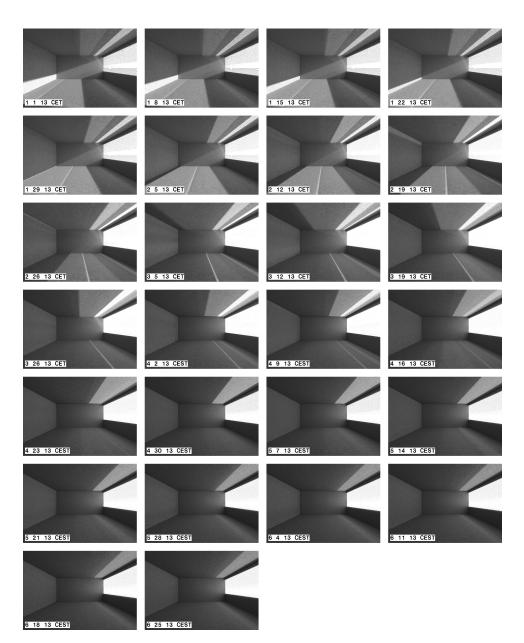


Figure 9: Retroreflecting blinds at 26 solar angles for a half year (January to June with weekly intervals) rendered with contribution photon map and *rcontrib*. In each instance the time of day is 1:00pm. Redirection and transmission dominate until March, while retroreflection dominates in the following months.

antimatter and specified with **mkpmap**'s **-aps** or **-apS** option, as detailed in section 4.

10 Acknowledgements

© Fraunhofer Institute for Solar Energy Systems, Lucerne University of Applied Sciences and Arts. Development of the RADIANCE photon map was suppoerted by the German Research Foundation (DFG) as part of the FARESYS project, and the Swiss National Science Foundation as part of the project "Simulation based assessment of daylight redirecting components for energy savings in office buildings" (DRC, #147053).

Thanks to Dr. Peter Apian-Bennewitz for initiating development of the photon map at Fraunhofer ISE, Greg Ward for his support in integrating the code, and Prof. Stephen Wittkopf and Lars Grobe for initiating the latest phase of development within the scope of the DRC project.

Thanks also to those who took the time to test the tool with REALLIFE[™] scenes and report bugs and provide valuable feedback!

References

- [Ward94] Gregory J. Ward: "The RADIANCE Lighting Simulation and Rendering System". Proceedings SIGGRAPH '94, July 1994
- [Ward98] Greg Ward Larson and Rob Shakespeare: "Rendering with RADIANCE". Morgan Kaufmann, San Francisco, 1998
- [Jens96] Henrik Wann Jensen: "Global Illumination using Photon Maps". Rendering Techniques '96, Eds. X. Pueyo and P. Schröder, Springer-Verlag, 1996
- [Jens97] Henrik Wann Jensen: "Rendering Caustics on Non-Lambertian Surfaces". Computer Graphics Forum, vol. 16 (1), March 1997
- [Jens98] Henrik Wann Jensen and Per H. Christensen: "Efficient Simulation of Light Transport in Scenes with Participating Media using Photon Maps". Proceedings SIGGRAPH '98, July 1998
- [Jens00] Henrik Wann Jensen and Niels Jørgen Christensen: "A Practical Guide to Global Illumination using Photon Maps". SIGGRAPH 2000 Course Notes 8, July 2000
- [Bent75] Jon Louis Bentley: "Multidimensional Binary Search Trees Used for Associative Searching". CACM 18(9), 1975
- [Chri00] Per Christensen: "Faster Photon Map Global Illumination". Journal of Graphics Tools, vol. 4 (3), April 2000

- [Schr03] Roland Schregle: "Bias Compensation for Photon Maps". Computer Graphics Forum, vol. 22 (4), December 2003
- [Schr15] Roland Schregle, Carsten Bauer, Lars O. Grobe and Stephen Wittkopf: "EvalDRC: A tool for annual characterisation of daylight redirecting components with photon mapping". Proceedings CISBAT 2015, Lausanne, Switzerland
- [Schr16] Roland Schregle, Lars O. Grobe and Stephen Wittkopf: "An Out-of-Core Photon Mapping Approach to Daylight Coefficients". Journal of Building Performance Simulation, 2016 (to appear)

A Sample Renderings

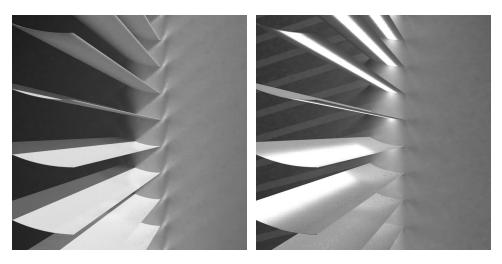


Figure 10: Retroreflecting blinds patented by Helmut Köster, rendered with RA-DIANCE photon map using 600k global and 6M caustic photons with a bandwidth of 100 and 100–2000 (bias compensated), respectively. At 40° incidence (left), sunlight is retroreflected as evidenced by the caustics on the wall, while skylight is scattered into the interior off the diffuse lamellae undersides. At 20° incidence (right), the inclination requires adjustment as sunlight is now redirected towards the lamellae undersides rather than being retroreflected.



Figure 11: Cross-sectional view of a lightpipe rendered with 500k global and 1M caustic photons. The photons are emitted via ports defined for the window, pipe aperture, and external heliostat (not visible).

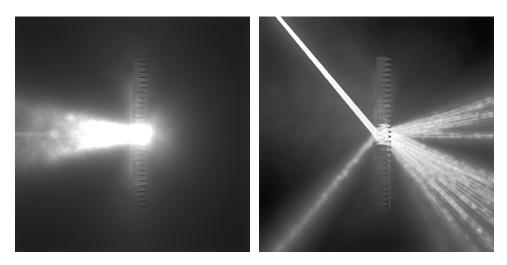


Figure 12: Compound parabolic concentrator with opaque apertures rendered with volume photon map in a *mist* environment. At normal incidence (left), the incident beam is retroreflected, whereas at 50° incidence (right) it is transmitted and redirected in a characteristic fan-out.

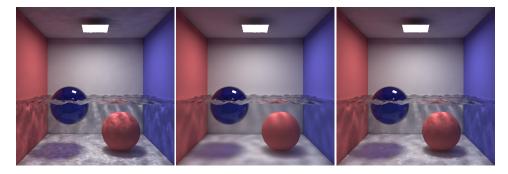


Figure 13: Flooded Cornell box rendered with caustic photon map using fixed bandwidths of 50 (left) and 500 (centre) photons, and a dynamic bandwidth of 50–500 photons with bias compensation.

B Parameter Ranges

The following table lists typical ranges for various parameters used with *mkpmap* and *rpict*. It is primarily aimed at novices, who are no doubt bewildered by the plethora of options. The ranges are recommendations based on the developer's experience, and should not be interpreted as fixed constraints. In some situations it is not unlikely to use settings far beyond the typical range.

<i>mkpmap</i> parameter	typical range	
nphotons	50k–1m	
-apD	0.1–1.0	
-apm	1000–5000	
-apP	0.25–0.5	
-dp	1000–100000	
-ds	0.0001-0.01	
rpict parameter	typical range	
bwidth ₁	50–100	
bwidth ₂	200–500	
-am	100-1000	
-ac	4.0–16.0	

C Mkpmap Troubleshooting

Problem	Cause	Solution
<i>mkpmap</i> stores too many photons	Too many emitted photons in distribution	Reduce -apD
Number of photons stored differs substan- tially from specification	repass Too few photons emitted in distribution prepass; prediction for main pass inaccurate	Increase -apD
<i>mkpmap</i> complains about runaway photons	Photons stuck in infinite scattering loop, possibly pathological geometry or materials	Check geometry and materials; increase -apm
<i>mkpmap</i> is slow with <i>source</i> primitive	<i>source</i> emits from scene cube faces	Define photon ports
<i>mkpmap</i> bogs down in light source flux integra- tion	Excessively high prob- ability density function resolution; too many source partitions	Decrease -dp; increase - ds
<i>mkpmap</i> bails out af- ter too many distribution prepasses	Using caustic photons with non-specular ge- ometry; using volume photons without <i>mist</i> ; pathological geometry or nonabsorbing materials; light sources see no geometry	Check scene and specify compatible photon types

D Rpict/Rtrace Troubleshooting

Problem	Cause	Solution
Excessive noise in pho- ton irradiance	Bandwidth too low	Increase bandwidth
Excessive blurring in photon irradiance	Bandwidth too high	Decrease bandwidth or increase size of photon map
Excessive noise and blurring in different regions	Bandwidth is fixed	Apply bias compensa- tion for dynamic band- width
Severe irradiance falloff at polygon edges	Boundary bias due to high bandwidth	Reduce bandwidth or apply bias compensation
Splotchy ambient illumi- nation from global pho- tons	Excessively noisy den- sity estimates; too few ambient samples; irradi- ance caching too inaccu- rate	Check density estimate with -ab -1, and increase bandwidth if necessary; increase -ad if illumina- tion has high variance or contains caustics; in- crease -ar and/or de- crease -aa
Direct photon irradi- ance from modified light source deviates significantly	Probability density func- tion cannot adequately resolve emission distri- bution; too few source partitions	Increase -dp ; if distribu- tion varies over surface, decrease -ds
Caustics are underesti- mated for measurement points passed to rtrace which do not lie on scene geometry	No photons in measure- ment plane; photons are retrieved from distant co- planar objects, resulting in oversized radius	Define dummy receiver surface with <i>antimatter</i> material, and spec- ify its modifier with <i>mkpmap</i> 's -aps or -apS option when building the photon map
<i>rpict/rtrace</i> complains about short photon lookups	Maximum photon search radius too small	Increase -am
Everything is slow	User expects too much	Twiddle thumbs